

# Density Functional Theory: from theory to Applications

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## Fully atomistic QM/MM approaches

CP-PAW Interface

CPMD/ GROMOS Interface

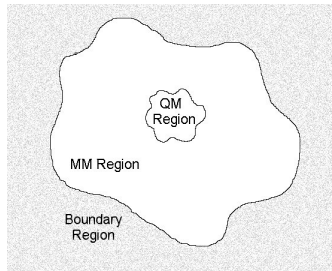
Real space multi-grid approach

## Embedding in continuum environment

CP-PAW/COSMO Interface

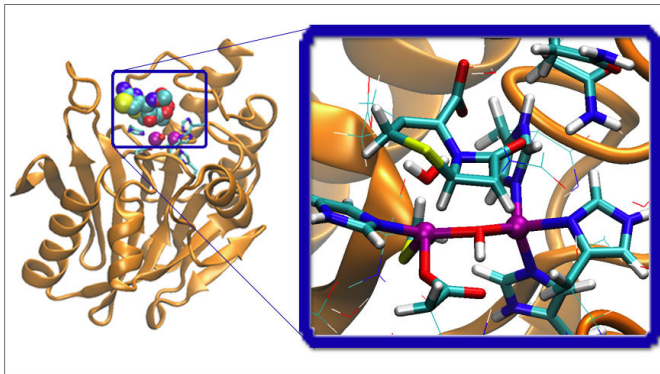
## An example of QM/MM calculations

# Mixed quantum/ classical molecular dynamics (QM/MM)



- ▶ Quantum mechanics is computationally expensive
- ▶ Idea: subdivide a complex system in a small, relevant part (QM) and the environment which is not neglected but treated at lower level of accuracy (MM).<sup>1</sup>

<sup>1</sup>See pioneering work of Warshel and Levitt, JMB 1976, 103, 227. 



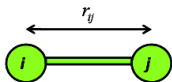
Metallo-beta-lactamase enzyme. Two zinc ions (purple spheres) are in the enzyme's active site (with amino acids coordinating the metals represented as sticks).

Fully atomistic QM/MM approaches: both QM and MM are based on particle representation. The full Hamiltonian is:

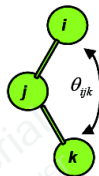
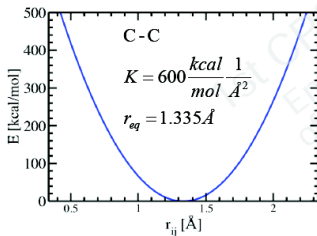
$$E^{QM/MM} = E^{QM}(\{\mathbf{R}_\alpha\}) + E^{MM}(\{\mathbf{R}_I\}) + E^{QM-MM}(\{\mathbf{R}_\alpha\}, \{\mathbf{R}_I\}) \quad (1)$$

- ▶  $E^{QM}(\{\mathbf{R}_\alpha\})$  is the Kohn-Sham Hamiltonian  $H_e^{KS}$ , e.g. in a plane wave / pseudopotential representation.
- ▶  $E^{MM}(\{\mathbf{R}_I\})$  are force field energy expressions: short-range bonded interaction with fixed topology + non-bonded interaction (electrostatic and van der Waals)

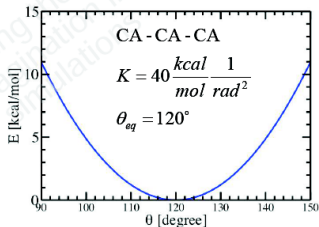
# MM: Bonds and bends



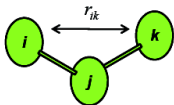
$$V(r_{ij}) = K(r_{ij} - r_{eq})^2$$



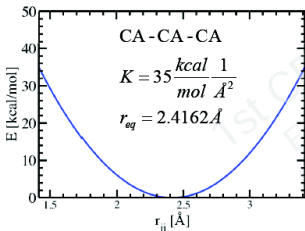
$$V(\theta_{ijk}) = K(\theta_{ijk} - \theta_{eq})^2$$



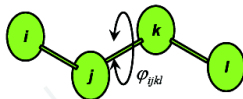
# MM: Urey-Bradley and Dihedral



$$V_{UB}(r_{ik}) = K_{UB}(r_{ik} - r_{eq})^2$$



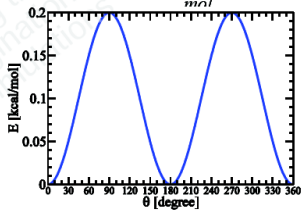
Seen in CHARMM.



$$V(\theta) = K[1 + \cos(n\theta - \gamma)]$$

CTL2 - CTL2 - CTL2 - CLT2

$$n = 2, K = 0.10 \frac{\text{kcal}}{\text{mol}}, \gamma = 180^\circ$$

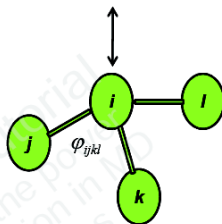
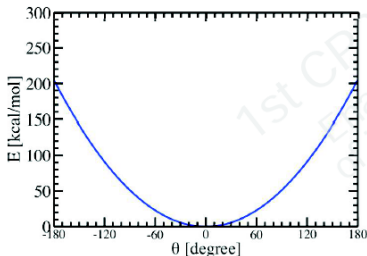


# MM: Improper (Out of plane motion)

$$V(\theta_{ijkl}) = K(\varphi_{ijkl} - \varphi_{eq})^2$$

CPB - CPA - NPH - CPA

$$K = 20.8 \frac{\text{kcal}}{\text{mol}} \frac{1}{\text{rad}^2}$$



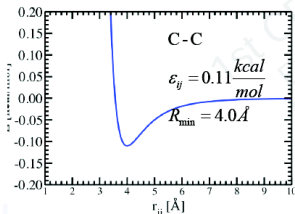
By definition, from the force field parameter and documentation, the first atom listed is the central atom. Therefore just like a normal torsion, the angle of interest is between the plane defined of particle *ijk* to that of the plane defined by particle *jkl*. This is only used for special situations.



## MM: Non-bonded

- Steric interactions are usually described by standard Lenard-Jones interaction, but different functional forms are also possible

$$V(r_{ij}) = \epsilon_{ij} \left( \left( \frac{R_{\min}}{r_{ij}} \right)^{12} - 2 \left( \frac{R_{\min}}{r_{ij}} \right)^6 \right)$$

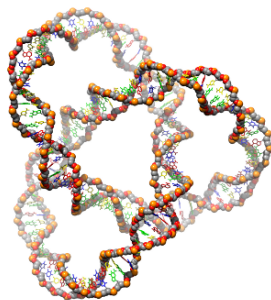


- Electrostatics:

$$V(r_{ij}) = \frac{q_i q_j}{\epsilon r_{ij}} \quad (2)$$

## Biomolecular simulations: AMBER

$$\begin{aligned}
 V_{total} = & \sum_{bonds} K_b (b - b_{eq})^2 + \\
 & \sum_{angle} K_\theta (\theta - \theta_{eq})^2 + \\
 & \sum_{dihedrals} \frac{V_n}{2} [1 + \cos(n\phi - \gamma)] + \\
 & \sum_{i < j} \left[ \frac{A_{ij}}{R_{ij}^{12}} - \frac{B_{ij}}{R_{ij}^6} - \frac{q_i q_j}{\epsilon R_{ij}} \right]
 \end{aligned}$$



<http://ambermd.org/>

D.A. Case, T.E. Cheatham, III, T. Darden, H. Gohlke, R. Luo, K.M. Merz, Jr., A. Onufriev, C. Simmerling, B. Wang and R. Woods. The Amber biomolecular simulation programs. *J. Computat. Chem.* 26, 1668-1688 (2005).

J.W. Ponder and D.A. Case. Force fields for protein simulations. *Adv. Prot. Chem.* 66, 27-85 (2003).

# Biomolecular simulations: CHARMM

$$\begin{aligned}
 V_{total} = & \sum_{bonds} K_b (b - b_{eq})^2 + \\
 & \sum_{UB} K_{UB} (S - S_{eq})^2 + \\
 & \sum_{angle} K_{\theta} (\theta - \theta_{eq})^2 + \\
 & \sum_{dihedrals} K_{\phi} [1 + \cos(n\phi - \gamma)] + \\
 & \sum_{impropers} K_{imp} (\varphi - \varphi_{eq})^2 + \\
 & \sum_{i < j} \mathcal{E} \left[ \left( \frac{R_{min_{ij}}}{r_{ij}} \right)^{12} - \left( \frac{R_{min_{ij}}}{r_{ij}} \right)^6 \right] + \frac{q_i q_j}{r_{ij}}
 \end{aligned}$$

$$\mathcal{E}_{ij} = \sqrt{\mathcal{E}_{ii} \mathcal{E}_{jj}}$$

$$R_{min_{ij}} = \frac{1}{2} (R_{min_i} + R_{min_j})$$

<http://www.charmm.org>

CHARMM: A Program for Macromolecular Energy, Minimization, and Dynamics Calculations, *J. Comp. Chem.* 4, 187-217 (1983), by B. R. Brooks, R. E. Bruccoleri, B. D. Olafson, D. J. States, S. Swaminathan, and M. Karplus.

CHARMM: The Energy Function and Its Parameterization with an Overview of the Program, in *The Encyclopedia of Computational Chemistry*, 1, 271-277, P. v. R. Schleyer et al., editors (John Wiley & Sons: Chichester, 1998), by A. D. MacKerell, Jr., B. Brooks, C. L. Brooks, III, L. Nilsson, B. Roux, Y. Won, and M. Karplus.

## OPLS (Optimized Potentials for Liquid Simulations)

$$\begin{aligned}
 V_{total} = & \sum_{bonds} K_r (r - r_{eq})^2 + \\
 & \sum_{angle} K_\theta (\theta - \theta_{eq})^2 + \\
 & \sum_{dihedrals} \frac{V_1}{2} [1 + \cos(\phi - \gamma_1)] + \\
 & \frac{V_2}{2} [1 + \cos(2\phi - \gamma_2)] + \\
 & \frac{V_3}{2} [1 + \cos(3\phi - \gamma_3)] + \\
 & \sum_{i < j} \left[ 4\epsilon_{ij} \left( \frac{\sigma_{ij}^{12}}{r_{ij}^{12}} - \frac{\sigma_{ij}^6}{r_{ij}^6} \right) - \frac{q_i q_j e^2}{r_{ij}} \right] f_{ij}
 \end{aligned}$$

$$\gamma_1 = \gamma_2 = \gamma_3 = 0^\circ$$

$$\epsilon_{ij} = \sqrt{\epsilon_{ii} \epsilon_{jj}}$$

$$\sigma_{ij} = \sqrt{\sigma_{ii} \sigma_{jj}} \quad f_{ij} = 0.5 \text{ for 1-4 interactions}$$

$$f_{ij} = 1.0 \text{ for everything else}$$

Jorgensen WL, Tirado-Rives J (1988). "The OPLS Force Field for Proteins. Energy Minimizations for Crystals of Cyclic Peptides and Crambin". J. Am. Chem. Soc. 110: 16571666.

Jorgensen WL, Maxwell DS, Tirado-Rives J (1996). "Development and Testing of the OPLS All-Atom Force Field on Conformational Energetics and Properties of Organic Liquids". J. Am. Chem. Soc. 118 (45): 1122511236.

## Class II force fields

- ▶ **Greater transferability:** Application of empirical force field parameters to molecules not explicitly included during the parameter optimization.
- ▶ Example: **Merck Molecular Force Field (MMFF)**, a Class II force field designed to be a transferable force field for pharmaceutical compounds that accurately treats conformational energetics and non-bonded interactions. This would, ideally, produce a force field that was adequate for both gas phase and condensed phase calculations.

## Class II force fields: MMFF

$$\begin{aligned}
 V_{total} = & \sum_{bonds} K_{bond} (r - r_{eq})^2 \left( 1 + cs(r - r_{eq}) + \frac{7}{12} (cs^2 (r - r_{eq})^2) \right) + \\
 & \sum_{angle} K_{\theta} (\theta - \theta_{eq})^2 (1 + cb(\theta - \theta_{eq})) + \\
 & \sum_{angle, linear} K_{al} (1 + \cos(\theta)) + \\
 & \sum_{stretch, bend} (K_{ijk} (r_{ij} - r_{eq}) + K_{kji} (r_{kj} - r_{eq})) (\theta - \theta_{eq}) + \\
 & \sum_{outofplane} K_{OOP} (\chi)^2 + \\
 & \sum_{dihedrals} \frac{V_1}{2} [1 + \cos(\phi)] + \frac{V_2}{2} [1 + \cos(2\phi)] + \frac{V_3}{2} [1 + \cos(3\phi)] + \\
 & \sum_{i < j} \left[ \epsilon_{ij} \left( \frac{1.07\sigma}{r_{ij} + 0.07\sigma} \right)^7 \left( \frac{1.12\sigma^7}{r_{ij}^7 + 0.07\sigma^7} - 2 \right) - \frac{q_i q_j}{D(r_{ij} + \delta)} \right]
 \end{aligned}$$

$$E^{QM-MM}(\{\mathbf{R}_\alpha\}, \{\mathbf{R}_I\})$$

$$E^{QM-MM} = E_b^{QM-MM} + E_{nb}^{QM-MM} \quad (3)$$

$$E_{nb}^{QM-MM} = E_{es}^{QM-MM} + E_{steric}^{QM-MM} \quad (4)$$

- ▶  $E_{steric}^{QM-MM}$  generally follows the model used in  $E^{MM}$  (Lenard-Jones-type potentials).
- ▶ For the  $E_{es}^{QM-MM}$  there different coupling schemes:
  - ▶ **mechanical embedding**: no influence of MM charges on the QM system
  - ▶ **electrostatic embedding**: electrostatic interaction between mm charges and charge density of the QM system
  - ▶ **polarized embedding**: MM polarization due to the QM system is also included

# Technical issues connected with the electrostatic coupling

- ▶ Straightforward numerical evaluation of the electrostatic coupling term:

$$E_{es}^{QM-MM} = \sum_{I \in MM} q_I \int \frac{n(r)}{|\mathbf{r} - \mathbf{R}_I|} d\mathbf{r} \quad (5)$$

is prohibitive. Indeed it would involve a number of operations which scales as  $N_g$  (number of grid points) times  $N_{MM}$  (number of MM atoms).

- ▶ Electron spill out or charge leakage



# CP-PAW Interface

Pioneering qm/mm work by Bloch and coworkers<sup>2</sup>.

Motivation: studying chemical reaction of complex system and computing free energy profile using thermodynamics integration.

The instantaneous electronic density of the QM system is mapped onto a set of Gaussian smearing functions tied to the QM sites. The corresponding Lagrangian is:

$$\mathcal{L}^{QM/MM} = \mathcal{L}_{CP}^{QM} + \mathcal{L}^{MM} + \mathcal{L}^{QM-MM} \quad (6)$$

$$= \sum_{\alpha} \frac{1}{2} M_{\alpha} \dot{\mathbf{R}}_{\alpha}^2 + \sum_i \mu \langle \dot{\phi}_i | \dot{\phi}_i \rangle - \langle \Psi_0 | \tilde{H}_e^{KS}(\{\mathbf{R}_{\alpha}\}) | \Psi_0 \rangle \quad (7)$$

$$+ \sum_{i,j} \Lambda_{i,j} (\langle \phi_i | \phi_j \rangle - \delta_{ij}) \quad (8)$$

$$+ \sum_I \frac{1}{2} M_I \dot{\mathbf{R}}_I^2 + E^{MM}(\{\mathbf{R}_I\}) + E^{QM-MM}(\{\mathbf{R}_I\}, \{\mathbf{R}_{\alpha}\}) \quad (9)$$

<sup>2</sup>J. Chem. Phys. 103, 7422

# CP-PAW Interface

- ▶ Separate Nose'-Hoover thermostats for the QM/MM regions
- ▶ Decoupling scheme between periodic images
- ▶ The continuous charge density is compressed to a linear superposition of of atom-centered spherical Gaussian functions:

$$n^G(\mathbf{r}) = \sum_{\alpha} q_{\alpha} G(\mathbf{r} - \mathbf{R}_{\alpha}) \quad (10)$$

Gaussian parameters are determined imposing that  $n^G(\mathbf{r})$  reproduce the multiple moments of  $n(\mathbf{r})$ .

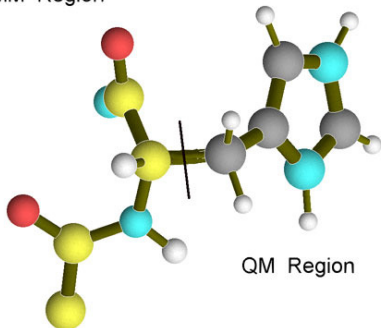
In the limit of infinitesimally small width the Gaussian density becomes:

$$n^{pc}(\mathbf{r}) = \sum_{\alpha} q_{\alpha} \delta(\mathbf{r} - \mathbf{R}_{\alpha}) \quad (11)$$

The electrostatic coupling is obtained between these effective QM charges and the MM charges.

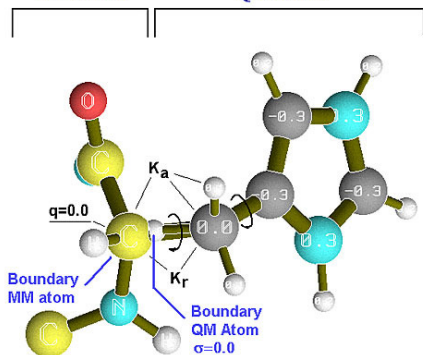
# Handling bond cuts: the hydrogen capping

MM Region



MM Atoms

QM Atoms



# A multiple timestep scheme

Relevant dynamical changes in the QM and MM systems typically occur on quite disparate time scales.

Accessible time-scale for QM dynamics is the ps time scale, whereas large amplitude conformational changes in large biomolecules occurs on the ns timescale.

- ▶ Multiple time step scheme
- ▶ oversampling artificially decreasing the MM masses, in this case the generated dynamics is fictitious.

## The CPMD/ GROMOS Interface

Full Hamiltonian QM/MM coupling. The QM/MM interface<sup>3</sup> is tailored to study dynamics of complex biomolecular systems and chemical reactions. The non-bonded part of the total energy is written as:

$$\begin{aligned}
 E_{nb}^{QM-MM} &= E_{es}^{QM-MM} + E_{steric}^{QM-MM} & (12) \\
 &= \sum_{I \in MM} q_I \int \frac{n(\mathbf{r})}{|\mathbf{r} - \mathbf{R}_I|} d\mathbf{r} + \sum_{I \in MM} \sum_{\alpha \in QM} v_{vdW}(|\mathbf{R}_\alpha - \mathbf{R}_I|) & (13)
 \end{aligned}$$

Short range electrostatic: the spill out problem.

$$E_{es}^{QM-MM} = \sum_{I \in MM} q_I \int n(\mathbf{r}) v_I^{eff}(|\mathbf{r} - \mathbf{R}_I|) d\mathbf{r} \quad (14)$$

$$v_I^{eff}(r_I) = \frac{r_{cl}^m - r_I^m}{r_{cl}^{m+1} - r_I^{m+1}} \rightarrow \frac{1}{r_I} (r_I \rightarrow \infty) \quad (15)$$

where  $m = 4$  and  $R_{c,I}$  covalent radius for the  $I$ th atom is a reasonable choice.

<sup>3</sup>Laio, VandeVondele, Rothlisberger, J. Chem. Phys. 116, 6941 (2002).

# Long Range Electrostatic

The Coulombic electrostatic field is included exactly only for a set of MM atoms in the vicinity of the QM system.

The electrostatic field on the MM atoms that do not belong to the NN set is calculated by a multi-polar expansion

$$\int dr \frac{\rho(r)}{|r-r_j|} = C \frac{1}{|r_j-\bar{r}|} + \sum_{\alpha} D^{\alpha} \frac{(r_j^{\alpha}-\bar{r}^{\alpha})}{|r_j-\bar{r}|^3} + \frac{1}{2} \sum_{\alpha\beta} Q^{\alpha\beta} \frac{(r_j^{\alpha}-\bar{r}^{\alpha})(r_j^{\beta}-\bar{r}^{\beta})}{|r_j-\bar{r}|^5} + \mathcal{O}\left(\frac{R_q}{|r_j-\bar{r}|\right)^4$$

$$C = \int dr \rho(r),$$

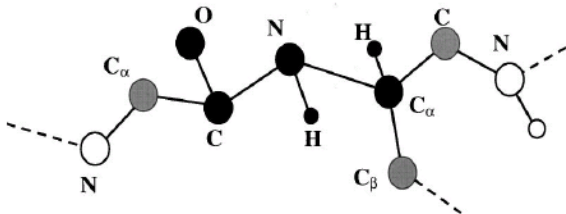
$$D^{\alpha} = \int dr \rho(r) (r^{\alpha} - \bar{r}^{\alpha}),$$

$$Q^{\alpha\beta} = \int dr \rho(r) [3(r^{\alpha} - \bar{r}^{\alpha})(r^{\beta} - \bar{r}^{\beta}) - \delta^{\alpha\beta} |r - \bar{r}|^2],$$

# Bonded Interactions

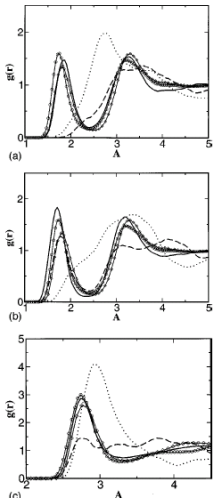
Two options have been employed:

- ▶ Hydrogen capping
- ▶ Carbon monovalent pseudopotentials



# CPMD/GROMS: validation

## QM water in MM (SPC) water



Pair correlation functions for qm/mm compared with continuous line with circles (SPC water) continuous line with diamonds (full QM)

Continuous line:  $r_c$  for oxygen and hydrogen are 0.8 and 0.4 Å, respectively;

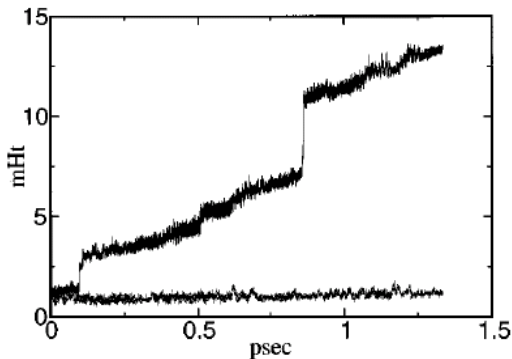
dashed line:  $r_c$  for oxygen and hydrogen are 0.8 and 0.8 Å;

dotted line:  $r_c$  for oxygen and hydrogen are 1.4 and 0.8 Å.

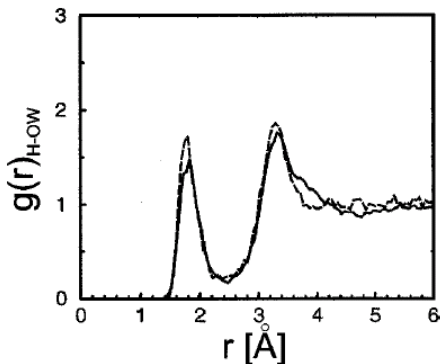
- 1) QM oxygen-MM hydrogen.
- 2) QM hydrogen-MM oxygen.
- 3) QM oxygen-MM oxygen.



## CPMD/GROMS: validation



Fictitious electronic kinetic energy for a QM water in a box of classical SPC water at 300 K and normal density. The time step is 6 a.u. **Continuous line:** no modification of the Coulomb potential . **Dotted line:** modified Coulomb



Hydrogen-water oxygen pair correlation function for a [ammonium ion in a box of water](#). Continuous line: full quantum result Dashed line: QM/MM result. The quantum ammonium ion is solvated in a box of SPC water.

# Real space multi-grid approach

This approach<sup>4</sup> is implemented in CP2K<sup>5</sup>. Which is a GWs code, using a mixed Gaussian and plane wave basis set for the expansion of the wavefunction and of the charge density.

- ▶ This approach is based on the use of a multi-grid technique in conjunction with a Gaussian expansion of the electrostatic potential (GEEP)

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<sup>4</sup>JCTC 2005, 1, 1176.

<sup>5</sup>[www.cp2k.berlios.de](http://www.cp2k.berlios.de)

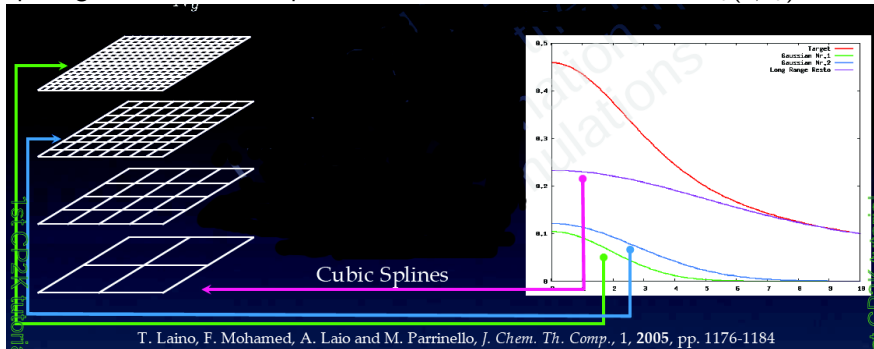
The exact Coulomb potential is replaced by

$$v_a(\mathbf{r}, \mathbf{r}_a) = \frac{\text{Erf}\left(\frac{|\mathbf{r} - \mathbf{r}_a|}{r_{c,a}}\right)}{|\mathbf{r} - \mathbf{r}_a|}$$

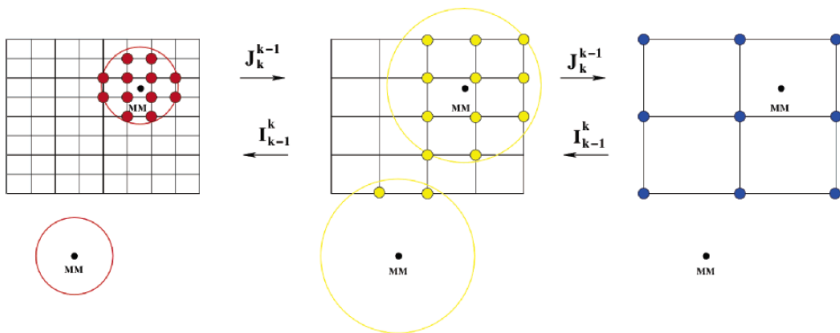
which is the exact potential energy function originated by a Gaussian charge distribution and has the desired property of tending to  $1/r$  at large distances and going smoothly to a constant for small  $r$ . This potential can be written as sum of Gaussian functions with different cutoffs according to

$$v_a(\mathbf{r}, \mathbf{r}_a) = \frac{\text{Erf}\left(\frac{|\mathbf{r} - \mathbf{r}_a|}{r_{c,a}}\right)}{|\mathbf{r} - \mathbf{r}_a|} = \sum_{N_g} A_g \exp\left(-\left(\frac{|\mathbf{r} - \mathbf{r}_a|}{G_g}\right)^2\right) + R_{\text{low}}(|\mathbf{r} - \mathbf{r}_a|)$$

The advantage of this decomposition scheme is that grids of different spacing can be used to represent the different contributions to  $v_a(r, r_a)$ .



Sharp Gaussians require fine grids, while coarser grids are necessary for the smoothest components. Gaussians can be truncated beyond a certain threshold value, which makes the collocation of the Gaussians on the grid very efficient.



Gaussian will be represented on the same number of grid points irrespective of its width. (e.g. a mesh of 25 X 25 x 25 suffices for an optimal Gaussian representation). The Gaussian can be considered a compact domain function, i.e., it is zero beyond a certain distance. Thus only MM atoms embedded into the QM box, or close to it, will contribute to the finest grid levels.

The speed up is about 2 order of magnitude

# Embedding in continuum environment

CP-PAW/COSMO: the environment is treated with a conductor-like screening model

- ▶ homogeneous and inert solvent treated as dielectric continuum: suitable if environment is not involved in the ongoing chemistry
- ▶ the essential aspect of this approach is to treat the surface charges at the cavity boundaries as fictitious dynamical variables:

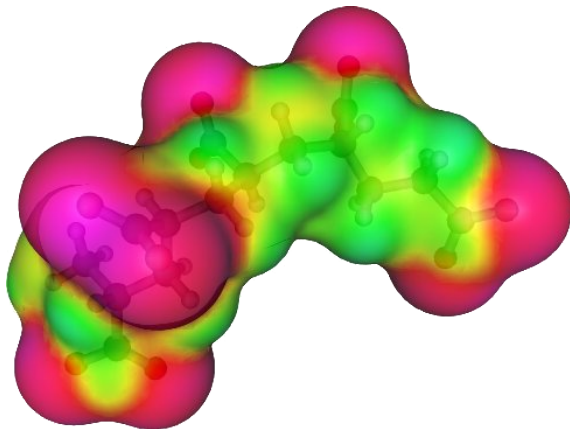
$$\mathcal{L}^{QM/MM} = \mathcal{L}_{CP}^{QM} + \mathcal{L}^{MM} + \mathcal{L}^{COSMO} \quad (16)$$

$$\begin{aligned} \mathcal{L}^{COSMO} &= \sum_I \frac{1}{2} M_I \dot{Q}_I^2 + G_{es}^{COSMO}(\{Q_I\}, \{\mathbf{R}_\alpha\}) \\ &- G_{steric}(\{\mathbf{R}_\alpha\}) - \sum_I k(1 - \Theta_I) Q_I^2 \end{aligned} \quad (17)$$

where the

$$G_{steric} = \sigma_0 + \sigma_1 A \quad (18)$$

with  $A$  surface area of the cavity and  $\sigma_i$ : empirical fixed parameters



COSMO surface of a pentaacrylate molecule (red = negative, green = positive equilibrium layer).



- ▶ A bonded term between the QM and MM parts,  $E_b^{QM-MM}$  does not exist in this approach.
- ▶ The fictitious variables  $Q_I$ , with associated inertia parameters  $M_I^Q$ , are discretized, scaled surface charges, located at the center of segments  $\mathbf{s}_I$ .
- ▶ Switching functions are introduced to switch off the charges of the segments which are not exposed to the solvent.
- ▶ Last term in eq:17 is a penalty function introduced to keep the instantaneously switched off charges from blowing-up during the dynamics

The COSMO free energy can be written down in terms of electrostatic solute-solvent, solvent-solvent, and self energy contributions:

$$G_{es}^{COSMO} = \sum_I Q_I \Theta_I \int_V \frac{n(\mathbf{r})}{|\mathbf{r} - \mathbf{s}_I|} d\mathbf{r} + \frac{1}{f} \sum_{I < J} \frac{Q_I \Theta_I Q_J \Theta_J}{|\mathbf{s}_I - \mathbf{s}_J|} \quad (19)$$

$$+ \frac{c}{f} \sum_I \frac{Q_I^2 \Theta_I^2}{\sqrt{a_I}} \quad (20)$$

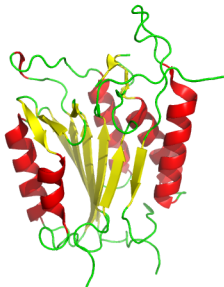
$$G_{steric} = \sigma_0 + \sigma_1 \sum_I a_I \Theta_I \quad (21)$$

$f$  is a constant screening factor,  $c$  is a geometry-dependent constant and  $V$  is the cavity volume.

Spill-out is prevented using the Gaussian model density as described for the CP-PAW/AMBER.

# A QM/MM application: reaction mechanism of caspase

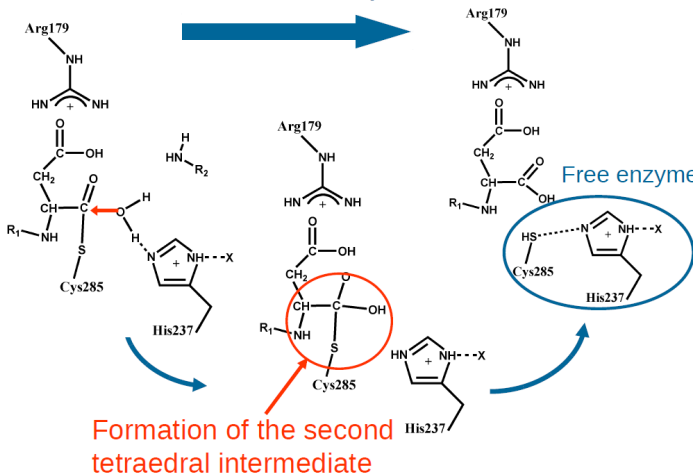
Caspase-3 is a cysteine protease involved in the programmed cell-death (apoptosis). Idea: study the enzymatic reaction in the protein environment. Calculate free energy barrier associated with the proposed mechanism<sup>6</sup>.

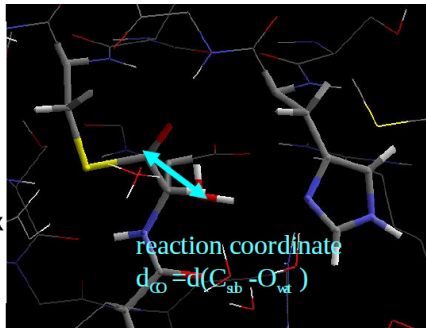
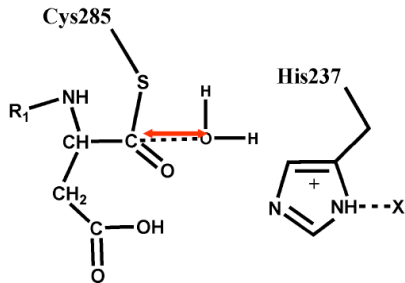


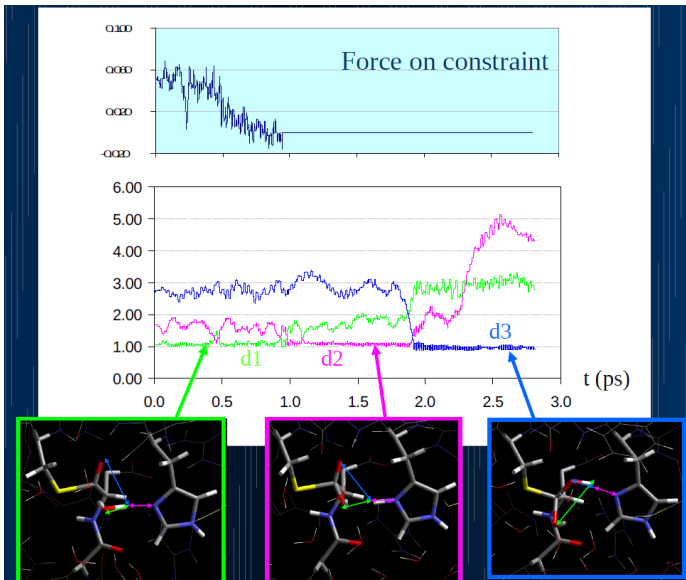
<sup>6</sup>Sulpizi *et al*, *Proteins*, 52(2): 212 (2003).

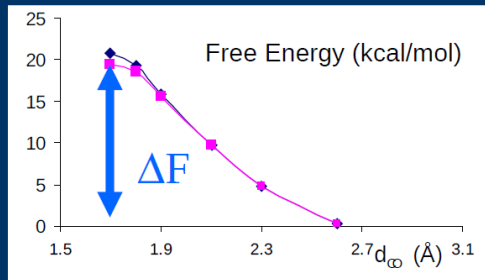
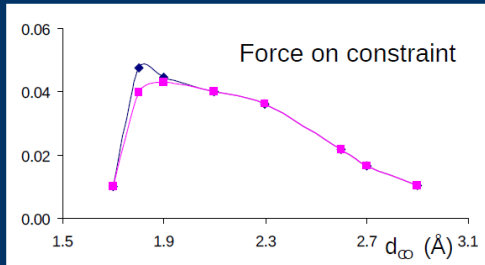


## Reaction step 2









Ciccotti *et al.*  
Chem. Phys. 129, 241(1989)

Free energy

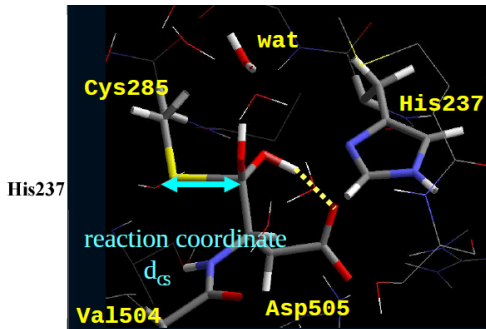
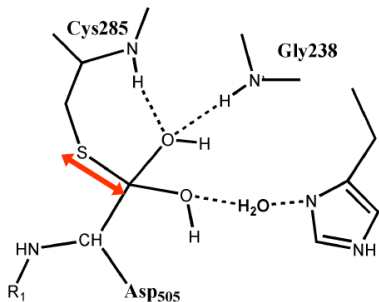
$$\Delta F = \int dQ f_s(Q)$$

Force on  
constraint

Reaction  
coordinate

For our calculation a  $\Delta F$  20 kcal/mol is compatible with the





The free energy barrier associated with this step is only 5 kcal/mol, so the previous step results to be the rate determining one.

# Importance of the environment

- ▶ No secondary structure elements which are relevant to active site electric field (as i.e. in papain and cathepsins)
- ▶ Protein structure seems to act as geometrical constraint which reduces entropy of reaction provides proper conformation to catalytic dyad