



OTTO VON GUERICKE
UNIVERSITÄT
MAGDEBURG

MATH

FAKULTÄT FÜR
MATHEMATIK

Extremal Self-Dual Codes

Anton Malevich

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Self-Dual Type II Codes

- ▶ Linear code C is a subspace of \mathbb{F}^n , $\mathbb{F} = \mathbb{F}_2$,
 $c \in C$ is a codeword
- ▶ The **dual** code

$$C^\perp = \{v \mid \langle u, v \rangle = 0 \text{ for all } u \in C\}$$

If $C = C^\perp$ the code is **self-dual**

- ▶ Weight of c is the number of 1's
- ▶ For a self-dual code $\dim = n/2$
- ▶ Self-dual code is **Type II**
if all weights are a multiple of 4

Example: Hamming Code

$$\begin{matrix} c_1 & \left[\begin{array}{ccccccc} 0 & 1 & 1 & 0 & 1 & 0 & 0 & 1 \end{array} \right] \\ c_2 & \left[\begin{array}{ccccccc} 0 & 0 & 1 & 1 & 0 & 1 & 0 & 1 \end{array} \right] \\ c_3 & \left[\begin{array}{ccccccc} 0 & 0 & 0 & 1 & 1 & 0 & 1 & 1 \end{array} \right] \\ c_4 & \left[\begin{array}{ccccccc} 1 & 0 & 0 & 0 & 1 & 1 & 0 & 1 \end{array} \right] \end{matrix}$$

- ▶ C is a subspace of \mathbb{F}^8 spanned by rows
- ▶ Self-dual: $\langle u, v \rangle = 0$ for all $u, v \in C$
- ▶ Type II: all weights are a multiple of 4
- ▶ Minimum distance: $d = 4$

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- ▶ C is a subspace of \mathbb{F}^8 spanned by rows
- ▶ **Self-dual:** $\langle u, v \rangle = 0$ for all $u, v \in C$
 - ▶ $\langle c_1, c_2 \rangle = 0 + 0 + 1 + 0 + 0 + 0 + 0 + 1 = 0$
 - ▶ $\langle c_i, c_j \rangle = 0$ for all $i, j \in \{1, 2, 3, 4\}$
- ▶ Type II: all weights are a multiple of 4
- ▶ Minimum distance: $d = 4$

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- ▶ Self-dual: $\langle u, v \rangle = 0$ for all $u, v \in C$
- ▶ **Type II**: all weights are a multiple of 4
 - ▶ $\text{wt}(c_i) = \# \text{ of } 1\text{'s} = 4$
- ▶ Minimum distance: $d = 4$

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- ▶ Self-dual: $\langle u, v \rangle = 0$ for all $u, v \in C$
- ▶ Type II: all weights are a multiple of 4
- ▶ **Minimum distance:** $d = 4$
 - ▶ $d = \min\{\text{wt } c \mid c \in C, c \neq 0\}$

Extremal Type II Codes

- ▶ Bound on d : $d \leq 4 \left\lfloor \frac{n}{24} \right\rfloor + 4$,
If “=” then the code is **extremal**
- ▶ For **extremal** codes $n \leq 3928$
- ▶ Length of a Type II code is **divisible by 8**
- ▶ Extremal codes only constructed for $n = 8, 16, 24, 32, 40, 48, 56, 64, 80, 88, 104, 112, 136$
- ▶ Our concern: $136 \leq \dots \leq 3928$

Automorphism Group

- ▶ $\text{Aut}(C) = \{\sigma \in S_n \mid u\sigma \in C \text{ for all } u \in C\}$

Example: Extended cyclic code

$\sigma = (1\ 2\ 3\ 4\ 5\ 6\ 7)$ – cyclic shift, (8) is fixed

$$\begin{array}{ccccccccc} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 \\ \hline \left[\begin{array}{ccccccc} 0 & 1 & 1 & 0 & 1 & 0 & 0 & 1 \\ 0 & 0 & 1 & 1 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 & 0 & 1 & 1 \\ 1 & 0 & 0 & 0 & 1 & 1 & 0 & 1 \end{array} \right] & \xrightarrow{\sigma} & \left[\begin{array}{ccccccc} 0 & 0 & 1 & 1 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 & 0 & 1 & 1 \\ 1 & 0 & 0 & 0 & 1 & 1 & 0 & 1 \\ 0 & 1 & 0 & 0 & 0 & 1 & 1 & 1 \end{array} \right] \end{array}$$

- ▶ C is an $\mathbb{F}G$ -module of dim $n/2$
- ▶ $G \leq \text{Aut}(C)$ helps construct a code

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Extremal Type II Codes (cont.)

- ▶ Extremal codes only known for $n = 8, 16, 24, 32, 40, 48, 56, 64, 80, 88, 104, 112, 136$
- ▶ Common approach: one length n at a time
 1. Assume $G \leq \text{Aut}(C)$ for some G
 2. Construct extremal C
(or prove nonexistence under the assumption)
- ▶ SLOANE'73: $n = 72?$ Still open
 - ▶ $|\text{Aut}(C)| = 2^a 3^b 5 \leq 24$
 - ▶ Only 11 possibilities for $\text{Aut}(C)$
- ▶ HARADA'08: $n = 112$
- ▶ Our approach: all lengths $n \leq 3928$
 - ▶ Families of codes: QR, QDC
 - ▶ Automorphisms of prime order $p \geq n/2$
 - ▶ 2-transitive $\text{Aut}(C)$

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Quadratic Residue Codes

- Exist for $n = p + 1$,
 p prime, 2 is a square in \mathbb{F}_p

Example: $n = 8$, $p = 7$

1, 2 and 4 are
the squares in \mathbb{F}_7^\times

0	1	2	3	4	5	6	7
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Theorem

The only extremal QR codes are of lengths

$$n = 8, 24, 32, 48, 80 \text{ and } 104$$

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Sketch of the Proof

- ▶ **Task:** find a codeword of weight $< 4 \left\lfloor \frac{n}{24} \right\rfloor + 4$ in every QR code for $n \leq 3928$

- ▶ **How?** Search in a subcode

$$C^H = \{\text{codewords fixed by all } \sigma \in H\},$$

where $H \leq \text{Aut}(C)$ suitable

- ▶ How to find **suitable** H ? (heuristic)

- ▶ $|H|$ large $\Leftrightarrow |C^H|$ small
- ▶ $|C^H|$ depends on structure of H
- ▶ $5 \leq |H| \leq 30$ works for large n

2-Transitive Automorphism Groups

Known extremal codes with 2-tr. Aut(C)

- ▶ Quadratic Residue codes of lengths:
8, 24, 32, 48, 80, 104
- ▶ Reed-Muller code of length 32

Theorem

There are no other such codes,

- ▶ apart from possibly $n = 1024$

Example: Hamming Code

1. $\text{Aut}(C)$ is **transitive** =

for any $i, j \in \{1, \dots, n\}$ there exists
 $\tau \in \text{Aut}(C)$ with $\tau(i) = j$

$i = 1, j = 8$: $\tau_1 = (1\ 8)(2\ 4)(3\ 7)(5\ 6) \in \text{Aut}(C)$

$$\left[\begin{array}{ccccccc} 0 & 1 & 1 & 0 & 1 & 0 & 0 & 1 \\ 0 & 0 & 1 & 1 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 & 0 & 1 & 1 \\ 1 & 0 & 0 & 0 & 1 & 1 & 0 & 1 \end{array} \right] \xrightarrow{\tau_1} \left[\begin{array}{ccccccc} 1 & 0 & 0 & 1 & 0 & 1 & 1 & 0 \\ 1 & 1 & 0 & 0 & 1 & 0 & 1 & 0 \\ 1 & 1 & 1 & 0 & 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 & 1 & 1 & 0 & 1 \end{array} \right]$$

- $E = \{\text{id}, \tau_1, \dots, \tau_7\}$ **elementary abelian**
 $|E| = \deg E = n$, E is transitive

Example: Hamming Code

2. $\text{Aut}(C)$ is **2-transitive** = transitive and
for any $i, j \in \{1, \dots, n - 1\}$ there exists
 $\sigma \in \text{Aut}(C)$ with $\sigma(i) = j$ and $\sigma(n) = n$

$i = 1, j = 2$: $\sigma = (1\ 2\ 3\ 4\ 5\ 6\ 7) \in \text{Aut}(C)$

$$\left[\begin{array}{ccccccc} 0 & 1 & 1 & 0 & 1 & 0 & 0 & 1 \\ 0 & 0 & 1 & 1 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 & 0 & 1 & 1 \\ 1 & 0 & 0 & 0 & 1 & 1 & 0 & 1 \end{array} \right] \xrightarrow{\sigma} \left[\begin{array}{ccccccc} 0 & 0 & 1 & 1 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 & 0 & 1 & 1 \\ 1 & 0 & 0 & 0 & 1 & 1 & 0 & 1 \\ 0 & 1 & 0 & 0 & 0 & 1 & 1 & 1 \end{array} \right]$$

- $E \rtimes \langle \sigma \rangle = \text{AGL}(1, 2^3)$ is 2-transitive
- $\text{AGL}(1, 2^m) \leq \text{Aut}(C) \Rightarrow C$ affine invariant

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The Method

- ▶ $G = \text{Aut}(C)$ is 2-transitive
1. Use the **structure** of G
 - ▶ The socle of G is **simple** or **elementary abelian**
 - ▶ Degree of G = length of $C \leq 3928$
 - ⇒ Only few possibilities for G
 2. Find all \mathbb{F} G -modules of $\dim n/2$
 3. Find modules that are **self-dual** as codes
 4. Check if the codes are **extremal**
 - ▶ Use subgroups of G

Simple Socle

see Table in CAMERON'81

Socle	n^\dagger	$\dim n/2 \text{ mod.}$	extremal
M_{24}	24	Golay code	yes
HS	176	none	
$PSU(3, 7)$	344	none	
$PSL(2, 7^3)$	344	GQR code	no
$PSL(m, q)$	4 pos.	none	
$PSp(2m, 2)$	6 pos.	none	
$PSL(2, p)$	$p + 1$	QR codes	$n \leq 104^*$
A_n	n	none	

$\dagger 8 \mid n, n \leq 3952$

* QR codes Theorem

Elementary Abelian Socle E

- ▶ $|E| = n = 2^m$, $m \leq 11$ (since $n \leq 3928$)
 - ▶ $G \leq \text{AGL}(m, 2)$ 2-transitive
- $\Rightarrow G \cong E \rtimes H$, $H \leq \text{GL}(m, 2)$ transitive
- ▶ Two cases:
 1. C affine invariant
 - ▶ H contains cyclic shift σ of length $(n - 1)$
 2. C not affine invariant

Affine Invariant Codes

- ▶ $\text{AGL}(1, 2^m) \leq G \leq \text{AGL}(m, 2)$
- ▶ $n = 2^m$, m is odd
- ▶ CHARPIN, LEVY-DIT-VEHEL'94:
A method to construct all aff. inv. codes

m	n	Num of codes	extremal
5	32	1	yes
7	128	3	none
9	512	70	none
11	2048	515617	none

Other Cases

- ▶ $G \cong E \rtimes H$, $H \leq \mathrm{GL}(m, 2)$ is transitive
 - ▶ H does not contain cyclic shift σ
- ▶ $n = 2^m$, $m = 4, 6, 8, 9$ or 10
- ▶ Possibilities for H :
 - ▶ $\mathrm{PSL}(k, 2^r) \leq H$, $m = kr$ $k, r \geq 2$
 - ▶ $\mathrm{PSp}(k, 2^r) \leq H$, $m = kr$, k even
 - ▶ Sporadic examples for $m = 4, 6$
- ▶ For $m < 9$: no self-dual codes
- ▶ Only for $m = 9$: 3 codes, not extremal
- ▶ $m = 10$: case $\mathrm{PSL}(2, 2^5)$ not excluded
 - ▶ Too many $\mathbb{F} G$ -modules of dim $n/2$

Summary

- ▶ Extremal codes with 2-tr. $\text{Aut}(C)$ are known
 - ▶ QR codes of length 8, 24, 32, 48, 80 or 104
 - ▶ Reed-Muller code of length 32
 - ▶ Possibly a code of length $n = 1024$ with $E \rtimes \text{PSL}(2, 2^5) \leq \text{Aut}(C)$

⇒ If new extremal codes exist,
then they have “little” structure

- ▶ Open problems
 - ▶ Finish the $n = 1024$ case
 - ▶ Classify self-dual codes with 2-tr. $\text{Aut}(C)$
 - ▶ Reduce the bound $n \leq 3928$ for extremal codes

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Thank you for your attention!