

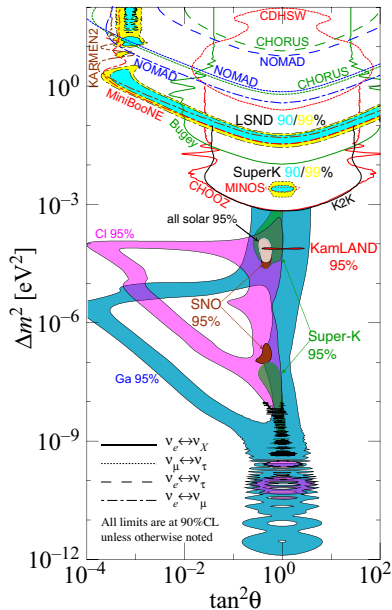
# Neutrino anomalies

Joachim Kopp

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October 5, 2013

# Recap: summary of neutrino oscillation results

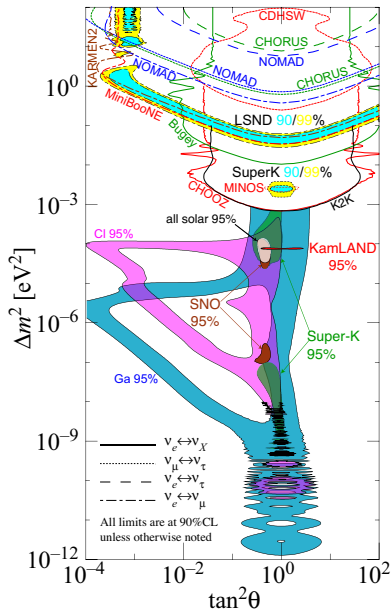


<http://hitoshi.berkeley.edu/neutrino>



- Established theoretical formalism
- Precise measurements of  $\theta_{23}$ ,  $|\Delta m_{31}^2|$ ,  $\theta_{12}$ ,  $\Delta m_{21}^2$ ,  $\theta_{13}$ .

# Recap: summary of neutrino oscillation results

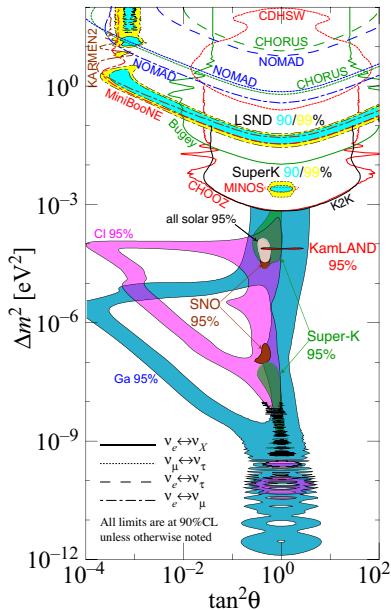


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- $\text{sgn}(\Delta m_{31}^2)$  unknown
- No sensitivity to  $\delta_{CP}$  yet
- Absolute neutrino masses not known
- Some open questions regarding coherence properties of neutrinos

# Recap: summary of neutrino oscillation results



<http://hitoshi.berkeley.edu/neutrino>



- **LSND and MiniBooNE**

- ▶ Anomalous  $\bar{\nu}_e$  appearance at short baseline

- **Reactor and gallium anomalies**

- ▶ Anomalous  $\bar{\nu}_e$  disappearance at short baseline

→ Today's lecture

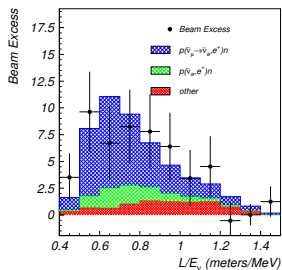
# Oscillation anomalies: LSND and MiniBooNE

## ● LSND:

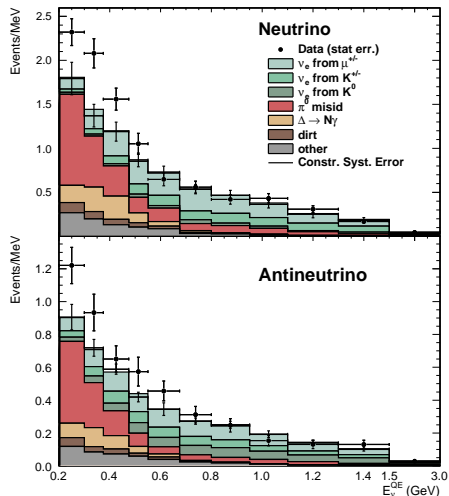
- ▶  $\bar{\nu}_e$  appearance in  $\bar{\nu}_\mu$  beam from stopped pion source ( $> 3\sigma$ ) at  $L/E \sim 1$  km/GeV

## ● MiniBooNE:

- ▶ No significant  $\nu_e$  or  $\bar{\nu}_e$  excess in the LSND-preferred region
- ▶ but  $\bar{\nu}_e$  consistent with LSND
- ▶ Low- $E$  excess not understood



LSND hep-ex/0104049

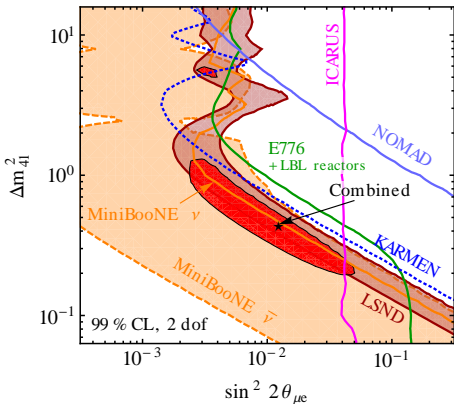


MiniBooNE arXiv:1207.4809

## $\nu_\mu \rightarrow \nu_e$ oscillations at $L/E \sim 1 \text{ km/GeV}$ ?

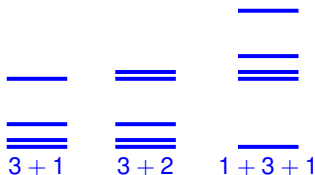
- Remember: Oscillation maxima for standard oscillations expected at
  - ▶  $L/E \sim 500 \text{ km/GeV}$  (from  $\Delta m_{31}^2 \sim 2.4 \times 10^{-3} \text{ eV}^2$ )
  - ▶  $L/E \sim 15000 \text{ km/GeV}$  (from  $\Delta m_{21}^2 \sim 8.1 \times 10^{-5} \text{ eV}^2$ )
- Explaining LSND and MiniBooNE requires an **additional** mass squared difference  $\Delta m_{41}^2 \sim 1 \text{ eV}^2$ .
- This requires an **additional** neutrino species.
- LEP measurements of the invisible  $Z$  width constrain the number of **active** neutrinos to three.
- **Only possibility:** A **sterile neutrino**  $\nu_s$ , not coupling to SM gauge interactions.
  - ▶ “3 + 1 scenario”
- Then: Possibility of  $\nu_\mu \rightarrow \nu_s \rightarrow \nu_e$  oscillations at  $L/E \sim 1 \text{ km/GeV}$

# $\bar{\nu}_e$ appearance in the 3+1 scenario and beyond



	$\chi^2_{3+1}/\text{dof}$	$\chi^2_{3+2}/\text{dof}$	$\chi^2_{1+3+1}/\text{dof}$
LSND	11.0/11	8.6/11	7.5/11
MiniB $\nu$	19.3/11	10.6/11	9.1/11
MiniB $\bar{\nu}$	10.7/11	9.6/11	12.7/11
E776	32.4/24	29.2/24	31.3/24
KARMEN	9.8/9	8.6/9	9.0/9
NOMAD	0.0/1	0.0/1	0.0/1
ICARUS	2.0/1	2.3/1	1.5/1
<b>Combined</b>	<b>87.9/66</b>	<b>72.7/63</b>	<b>74.6/63</b>

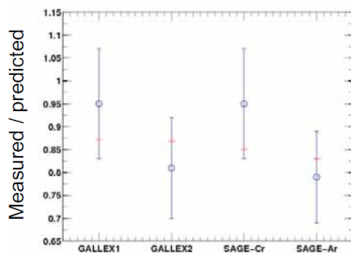
- Global fit to all appearance data is **consistent**
- **Background oscillations** important in MiniBooNE and E776
- Significant improvement in **3 + 2** and **1 + 3 + 1**



JK Machado Maltoni Schwetz, 1303.3011

# The Gallium anomaly

- Intense radioactive  $\nu_e$  sources ( $^{51}\text{Cr}$  and  $^{37}\text{Ar}$ ) have been deployed in the GALLEX and SAGE solar neutrino detectors
- Neutrino detection via  $^{71}\text{Ga} + \nu_e \rightarrow ^{71}\text{Ge} + e^-$
- Result: Measurements consistently lower than expectation ( $2.7\sigma$ )



Giunti Laveder arXiv:1005.4599, arXiv:1006.3244  
Mention et al. Moriond 2011 talk

- Question: How well are efficiencies of the radiochemical method understood?



# The reactor anomaly

- Recent **reevaluation** of expected reactor  $\bar{\nu}_e$  flux is  $\sim 3.5\%$  **higher** than previous prediction Mueller et al. arXiv:1101.2663, confirmed by P. Huber arXiv:1106.0687
- **Method:** Use measured  $\beta$ -spectra from  $^{238}\text{U}$ ,  $^{235}\text{U}$ ,  $^{241}\text{Pu}$  fission at ILL and convert to  $\bar{\nu}_e$  spectrum (for single  $\beta$ -decay:  $E_\nu = Q - E_e$ )
- **Problem:** Requires knowledge of  $Q$ -values for **all** contributing decays.  
→ take from nuclear databases where available, fit to data otherwise

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**Old method** Schreckenbach 1985

**30 effective  $\beta$  decays**  
(fit parameters to ILL data)

---

**New method** Mueller et al. arXiv:1101.2663

Uses **nuclear databases** (90% of  $\bar{\nu}_e$  flux)  
**5 effective  $\beta$  decays** (remaining 10%)  
**Error propagation, correlation matrix**  
**Corrections to the Fermi theory of  $\beta$  decay**  
**Off-equilibrium corrections**  
(not all  $\beta$ -decay chains in equilibrium)

- 
- **Cross check:**
    - ▶ Simulate **mock  $e^-$  spectra** using few well-understood  $\beta$ -decays
    - ▶ Reconstruct  $\bar{\nu}_e$  spectrum using **old method**: Result is **3% too low**
    - ▶ Reconstruct  $\bar{\nu}_e$  spectrum using **new method**: Result is **exact**.

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- **Possible problems:**

- ▶ Poorly understood effects in nuclei with large **log ft**

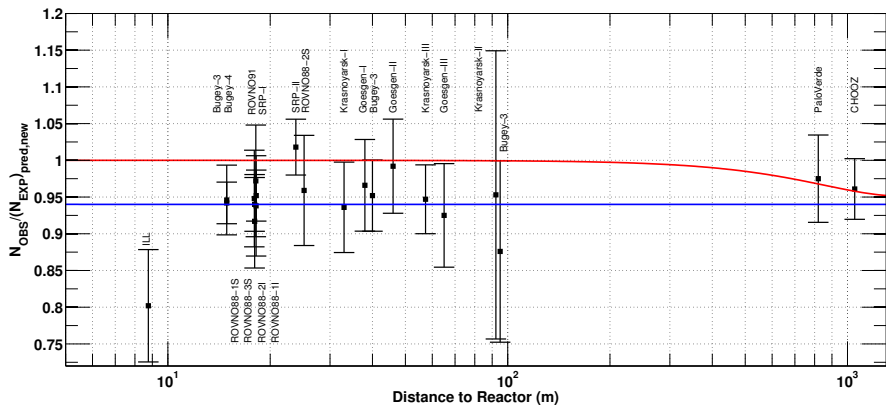
Huber arXiv:1106.0687

- ▶ Large systematic uncertainties for **non-unique forbidden  $\beta$  decays**

Hayes et al. arXiv:1309.4146

# The reactor anti-neutrino anomaly

- Have short-baseline reactor experiments observed a  $\bar{\nu}_e$  deficit?

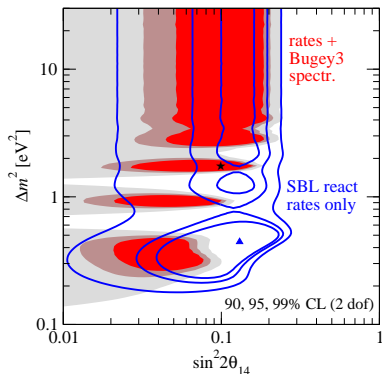
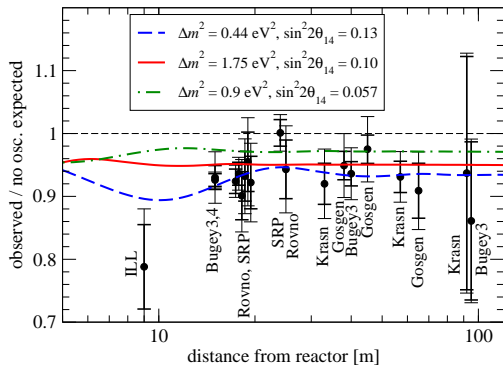


Mention et al. arXiv:1101.2755

red = new reactor  $\bar{\nu}_e$  flux prediction

blue = old reactor  $\bar{\nu}_e$  flux prediction

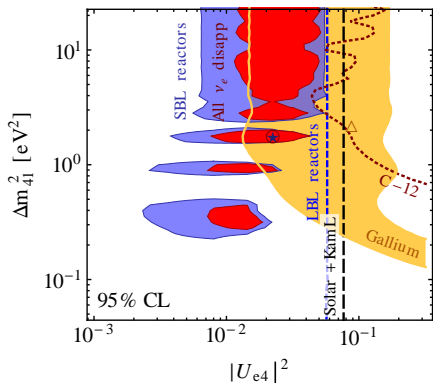
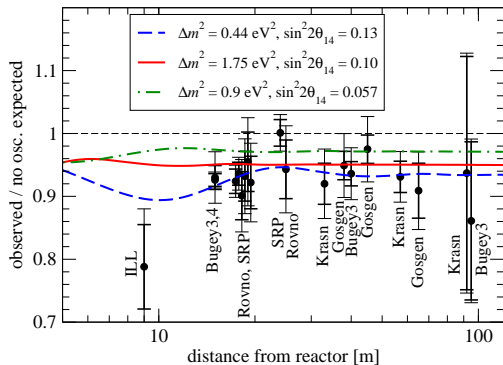
# $\bar{\nu}_e$ disappearance in the 3+1 scenario



	$\sin^2 2\theta_{14}$	$\Delta m_{41}^2 [\text{eV}^2]$	$\chi_{\min}^2/\text{dof}$ (GOF)	$\Delta\chi_{\text{no osc}}^2/\text{dof}$ (CL)
SBL rates only	0.13	0.44	11.5/17 (83%)	11.4/2 (99.7%)
SBL incl. Bugey3 spect.	0.10	1.75	58.3/74 (91%)	9.0/2 (98.9%)

JK Machado Maltoni Schwetz, 1303.3011

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SBL incl. Bugey3 spect.	0.10	1.75	58.3/74 (91%)	9.0/2 (98.9%)
SBL + Gallium	0.11	1.80	64.0/78 (87%)	14.0/2 (99.9%)
global $\nu_e$ disapp.	0.09	1.78	403.3/427 (79%)	12.6/2 (99.8%)

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# Relation between appearance and disappearance

We find:  $\overline{\nu}_e$  disappearance experiments consistent among themselves,  $\overline{\nu}_e$  appearance experiments consistent among themselves.

But:

## 3 + 1 neutrinos

At large baseline ( $L \gg 4\pi E/\Delta m_{41}^2$ , but  $L \ll 4\pi E/\Delta m_{31}^2$ )

$$P_{ee} = 1 - 2|U_{e4}|^2(1 - |U_{e4}|^2)$$

$$P_{\mu\mu} = 1 - 2|U_{\mu4}|^2(1 - |U_{\mu4}|^2)$$

$$P_{e\mu} = 2|U_{e4}|^2|U_{\mu4}|^2$$

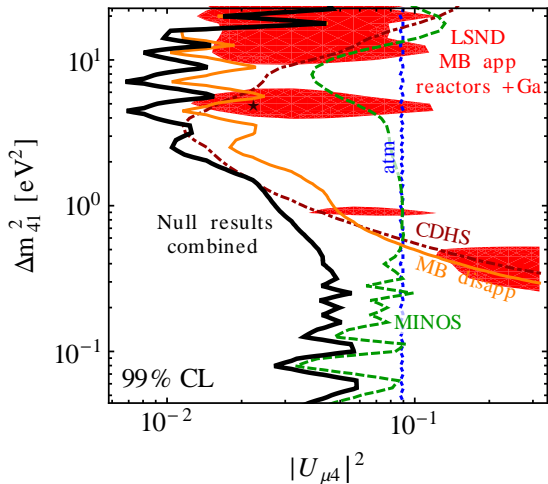
It follows

$$2P_{e\mu} \simeq (1 - P_{ee})(1 - P_{\mu\mu})$$

In the 3 + 1 case, at large enough baseline, there is a one-to-one relation between the appearance and disappearance probabilities.

# $\bar{\nu}_\mu$ disappearance in the 3+1 scenario

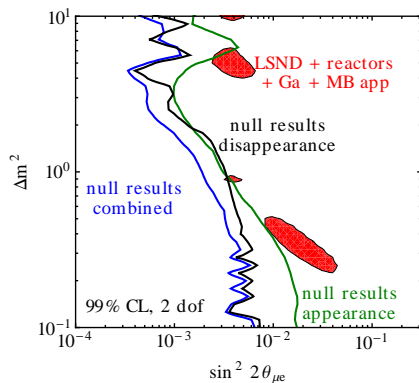
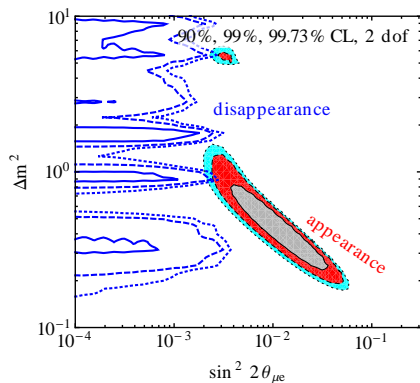
- Parameter regions favored by **tentative hints** are in **tension with null results** from  $\bar{\nu}_\mu$  disappearance searches



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# The global oscillation fit

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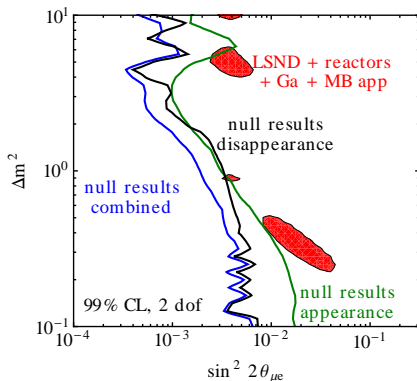
	$\chi^2_{\min}/\text{dof}$	GOF
3+1	712/(689 - 9)	19%



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3 + 1 Severe **tension** between appearance and disappearance and between exp's with and without a signal



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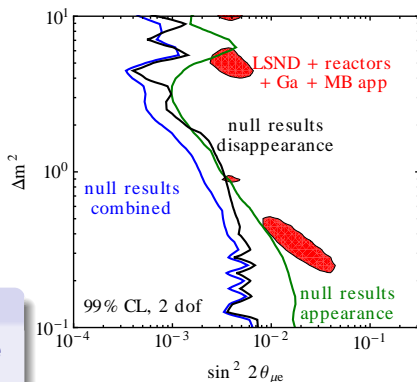
# The global oscillation fit

JK Machado Maltoni Schwetz, 1303.3011

3 + 1 Severe **tension** between appearance and disappearance and between exp's with and without a signal

Parameter goodness of fit (PG) test:

Compares  $\chi_{\min}^2$  from global and separate fits to test **compatibility of 2 data sets**



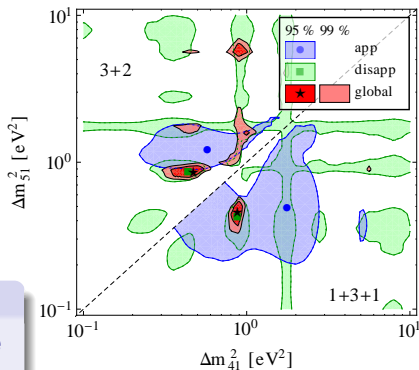
	$\chi_{\min}^2/\text{dof}$	GOF	$\chi_{\text{PG}}^2/\text{dof}$	PG
3+1	712/(689 - 9)	19%	18.0/2	$1.2 \times 10^{-4}$

# The global oscillation fit

- 3 + 1 Severe **tension** between appearance and disappearance and between exp's with and without a signal
- 3 + 2 Fit **improves considerably** with **two sterile neutrinos**

Parameter goodness of fit (PG) test:

Compares  $\chi_{\min}^2$  from global and separate fits to test **compatibility of 2 data sets**



	$\chi_{\min}^2/\text{dof}$	GOF	$\chi_{\text{PG}}^2/\text{dof}$	PG
3+1	712/(689 - 9)	19%	18.0/2	$1.2 \times 10^{-4}$
3+2	701/(689 - 14)	23%	25.8/4	$3.4 \times 10^{-5}$

# The global oscillation fit

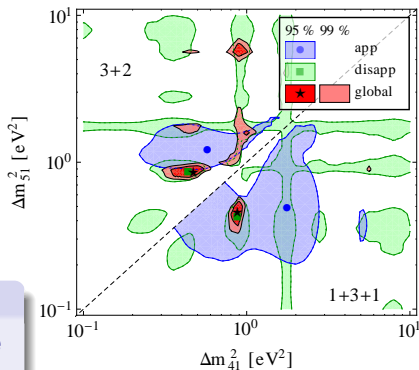
3 + 1 Severe **tension** between appearance and disappearance and between exp's with and without a signal

3 + 2 Fit **improves considerably** with **two sterile neutrinos**

1 + 3 + 1 Further **improvement**, especially in appearance fit

Parameter goodness of fit (PG) test:

Compares  $\chi^2_{\min}$  from global and separate fits to test **compatibility of 2 data sets**



	$\chi^2_{\min}/\text{dof}$	GOF	$\chi^2_{\text{PG}}/\text{dof}$	PG
3+1	712/(689 - 9)	19%	18.0/2	$1.2 \times 10^{-4}$
3+2	701/(689 - 14)	23%	25.8/4	$3.4 \times 10^{-5}$
1+3+1	694/(689 - 14)	30%	16.8/4	$2.1 \times 10^{-3}$

Conclusion from oscillation fits:

severe tension

in all cases

# Sterile neutrinos in cosmology

Models with  $\mathcal{O}(\text{eV})$  sterile neutrino(s) constrained by cosmology:

Sum of neutrino masses

$$\sum m_\nu \lesssim 0.5 \text{ eV}$$

# of relativistic species

$$N_\nu = 4 \text{ mildly disfavored}$$

see e.g. Ade et al. (Planck), arXiv:1303.5076

Gonzalez-Garcia Maltoni Salvado, arXiv:1006.3795

Hamann Hannestad Raffelt Tamborra Wong, arXiv:1006:5276

talks by Krzysztof Gorski, Massimiliano Lattanzi, Ninetta Saviano on Monday

# Are light sterile neutrinos ruled out by cosmology?

$\nu_s$  production in the early Universe through  $\nu_{e,\mu,\tau} \rightarrow \nu_s$  oscillations at  $T \gtrsim \text{MeV}$

Dodelson Widrow 1994

## Reconciling sterile neutrinos with cosmology

- Large **lepton asymmetry** ( $\gtrsim 0.01$ )  $\rightarrow \nu_s$  production **MSW-suppressed**  
Foot Volkas hep-ph/9508275, Chu Cirelli astro-ph/0608206, Saviano et al. arXiv:1302.1200
- **New gauge interactions** between  $\nu_s$  and dark matter  
 $\rightarrow \nu_s$  production **MSW-suppressed** Dasgupta JK, in preparation
- Couplings to a **Majoron field**  $\rightarrow$  suppressed production  
Bento Berezhiani, hep-ph/0108064
- **Very low reheating temperature**  
Gelmini Palomares-Ruiz Pascoli, astro-ph/0403323
- **Entropy production** after neutrino decoupling (e.g. due to late decay of heavy sterile neutrinos or other particles)  $\rightarrow$  neutrinos diluted  
Fuller Kishimoto Kusenko 1110.6479, Ho Scherrer 1212.1689
- **> 1** new relativistic degrees of freedom +  $w < -1$  +  $\mu_\nu \neq 0$   
Hamann Hannestad Raffelt Wong, arXiv:1108.4136