

Transformation operators in control problems

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Transformation operators for the Sturm–Liouville operators

Let $\mathbf{T}_r : L^2(0, +\infty) \rightarrow L^2(0, +\infty)$ be the well-known transformation operator saving the asymptotics at infinity:

$$\left(\frac{d^2}{d\lambda^2} - r(\lambda) \right) \mathbf{T}_r g = \mathbf{T}_r \frac{d^2}{d\xi^2} g, \quad g \in H^2(0, +\infty),$$

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where $r \in C^1[0, +\infty) \cap L^\infty(0, +\infty)$ i $\int_0^\infty \lambda |r(\lambda)| d\lambda < \infty$. Its properties are given in the book of V.A. Marchenko, “Sturm–Liouville Operators and Applications”, 2011. Various transformation operators were studied by M.Jaulent, C.Jean, E.Ya.Khruslov, B.Ya.Levin, B.M.Levitan, A.Ya.Povzner and other mathematicians.

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This operator has been extended to the classical Sobolev spaces $H^{-m} = H^{-m}(\mathbb{R})$, $m = 0, 1, 2$ by L.V.Fardigola [SIAM J. Control Optim. **51** (2013), 1781–180], [Mathematical Control and Related Fields **5** (2015), 31–53] and by K.S.Khalina [Dopovidi Nats. Acad. Nauk. Ukr. (2012), No. 10, 24–29].

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under boundary condition $\frac{y(\xi, \mu)}{v(\xi, \mu)} \rightarrow 1$ as $\xi \rightarrow +\infty$, $\mu \in \mathbb{C}$. It is known that $D(\mathbf{T}_r) = R(\mathbf{T}_r) = L^2(0, +\infty)$, the operator \mathbf{T}_r is bounded and invertible.

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$$\begin{aligned} (\mathbf{T}_r g)(\lambda) &= g(\lambda) + \int_x^\infty K(\lambda, \xi) g(\xi) d\xi, \quad \lambda > 0, \\ (\mathbf{T}_r^{-1} f)(\xi) &= f(\xi) + \int_\xi^\infty L(\xi, x) f(x) dx, \quad \xi > 0, \end{aligned}$$

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where K and L are well-known kernels of the transformation operator and its inverse.

The kernels K and L

The kernel K is defined by the system

$$\begin{cases} K_{y_1 y_1} - K_{y_2 y_2} = r(y_1)K, & y_2 \geq y_1 \geq 0, \\ K(y_1, y_1) = \frac{1}{2} \int_{y_1}^{\infty} r(\xi) d\xi, & y_1 > 0 \\ \lim_{y_1 + y_2 \rightarrow \infty} K_{y_1}(y) - K_{y_2}(y) = 0, & y_2 \geq y_1 \geq 0. \end{cases} \quad (3)$$

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Then $L \in C^2(\{y \in \mathbb{R}^2 \mid y_2 \geq y_1 \geq 0\})$ is determined by

$$L(y) + K(y) + \int_{y_1}^{y_2} L(y_1, \xi) K(\xi, y_2) d\xi = 0, \quad y_2 \geq y_1 \geq 0, \quad (4)$$

or

$$L(y) + K(y) + \int_{y_1}^{y_2} K(y_1, \xi) L(\xi, y_2) d\xi = 0, \quad y_2 \geq y_1 \geq 0. \quad (5)$$

Properties of the kernels K and L

Lemma (V.A. Marchenko, “Sturm–Liouville Operators and Applications”, 2011)

Let K be a solution to (3). Then $K \in C^2(\{y \in \mathbb{R}^2 \mid y_2 \geq y_1 \geq 0\})$ and

$$|K(y)| \leq M_0 \sigma_0 \left(\frac{y_1 + y_2}{2} \right), \quad y_2 \geq y_1 \geq 0 \quad (6)$$

$$|K_{y_j}(y)| \leq \frac{1}{4} \left| r \left(\frac{y_1 + y_2}{2} \right) \right| + M_1 \sigma_0 \left(\frac{y_1 + y_2}{2} \right), \quad y_2 \geq y_1 \geq 0, \quad j = 1, 2. \quad (7)$$

where $M_0 > 0$, $M_1 > 0$, and $\sigma_0(x) = \int_x^\infty |r(\xi)| d\xi$, $x > 0$.

Properties of the kernels K and L II

Lemma

Let K be a solution to (3), $L \in C^2(\{y \in \mathbb{R}^2 \mid y_2 \geq y_1 \geq 0\})$ satisfy (4) or (5). Then

$$|L(y)| \leq N_0 \sigma_0 \left(\frac{y_1 + y_2}{2} \right), \quad y_2 \geq y_1 \geq 0 \quad (8)$$

$$|L_{y_j}(y)| \leq \frac{1}{4} \left| r \left(\frac{y_1 + y_2}{2} \right) \right| + N_1 \sigma_0 \left(\frac{y_1 + y_2}{2} \right), \quad y_2 \geq y_1 \geq 0, \quad j = 1, 2, \quad (9)$$

where $N_0 > 0$ and $N_1 > 0$.

Classical Sobolev spaces

Let $p \in \mathbb{N} \cup \{0\}$. Denote

$$H^p = H^p(\mathbb{R}) = \left\{ \varphi \in L^2(\mathbb{R}) \mid \forall k = \overline{0, p} \quad \frac{d^k}{dx^k} \varphi \in L^2(\mathbb{R}) \right\},$$
$$\|\varphi\|^p = \left(\sum_{k=0}^p \left(\left\| \frac{d^k}{dx^k} \varphi \right\|_{L^2(\mathbb{R})} \right)^2 \right)^{1/2},$$

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$$H^{-p} = (H^p)^*,$$

$$\|f\|^{-p} = \sup \left\{ \frac{|\langle f, \varphi \rangle|}{\|\varphi\|^p} \mid \|\varphi\|^p \neq 0 \right\},$$

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Denote by \tilde{H}^m the subspace of all odd distributions in H^m , $m \in \mathbb{Z}$.

Extension of \mathbf{T}_r to \tilde{H}^0

Suppose that function r is even extended.

Denote $\tilde{\mathbf{T}}_0 : \tilde{H}^0 \rightarrow \tilde{H}^0$ with the domain $D(\tilde{\mathbf{T}}_0) = \tilde{H}^0$,

$$(\tilde{\mathbf{T}}_0 g)(\lambda) = g(\lambda) + \operatorname{sgn} \lambda \int_{|\lambda|}^{\infty} K(|\lambda|, \xi) g(\xi) d\xi, \quad \lambda \in \mathbb{R}, \quad g \in D(\tilde{\mathbf{T}}_0).$$

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The operator $\tilde{\mathbf{T}}_0$ is invertible and $\tilde{\mathbf{T}}_0^{-1} : \tilde{H}^0 \rightarrow \tilde{H}^0$, $D(\tilde{\mathbf{T}}_0^{-1}) = \tilde{H}^0$,

$$(\tilde{\mathbf{T}}_0^{-1} f)(\xi) = f(\xi) + \operatorname{sgn} \xi \int_{|\xi|}^{\infty} L(|\xi|, \lambda) f(\lambda) d\lambda, \quad \xi \in \mathbb{R}, \quad f \in D(\tilde{\mathbf{T}}_0^{-1}),$$

The adjoint operators for $\tilde{\mathbf{T}}_0$ and $\tilde{\mathbf{T}}_0^{-1}$

For the adjoint operators $\tilde{\mathbf{T}}_0^*$ and $(\tilde{\mathbf{T}}_0^{-1})^* = (\tilde{\mathbf{T}}_0^*)^{-1}$ we have

$$\tilde{\mathbf{T}}_0^* : \tilde{H}^0 \rightarrow \tilde{H}^0, D(\tilde{\mathbf{T}}_0^*) = \tilde{H}^0 = R((\tilde{\mathbf{T}}_0^*)^{-1}),$$

$$(\tilde{\mathbf{T}}_0^* \varphi)(\xi) = \varphi(\xi) + \operatorname{sgn} \xi \int_0^{|\xi|} K(\lambda, |\xi|) \varphi(\lambda) d\lambda, \quad \xi \in \mathbb{R}, \varphi \in D(\tilde{\mathbf{T}}_0^*),$$

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$$\text{and } (\tilde{\mathbf{T}}_0^*)^{-1} : \tilde{H}^0 \rightarrow \tilde{H}^0, D((\tilde{\mathbf{T}}_0^*)^{-1}) = \tilde{H}^0 = R(\tilde{\mathbf{T}}_0^*),$$

$$\left((\tilde{\mathbf{T}}_0^*)^{-1} \psi \right) (\lambda) = \psi(\lambda) + \operatorname{sgn} \lambda \int_0^{|\lambda|} L(\xi, |\lambda|) \psi(\xi) d\xi,$$

$$\lambda \in \mathbb{R}, \psi \in D((\tilde{\mathbf{T}}_0^*)^{-1}).$$

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$$K_{y_1 y_1} - K_{y_2 y_2} = r(y_1)K, \quad y_2 \geq y_1 \geq 0,$$

after $(\tilde{\mathbf{T}}_0^* \varphi)''$ is calculated:

$$\begin{aligned} (\tilde{\mathbf{T}}_0^* \varphi)'' &= \dots + \operatorname{sgn} \xi \int_0^{|\xi|} K_{y_2 y_2}(\lambda, |\xi|) \varphi(\lambda) d\lambda \\ &= \dots + \operatorname{sgn} \xi \int_0^{|\xi|} K_{y_1 y_1}(\lambda, |\xi|) \varphi(\lambda) d\lambda. \end{aligned}$$

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Then, integrating by parts, we prove the assertion.

Extension of \mathbf{T}_r to \tilde{H}^{-2}

Denote by $\tilde{\mathbf{T}}_r$ the operator $\left(\tilde{\mathbf{T}}_0^*|_{\tilde{H}^2}\right)^*$. We have $\tilde{\mathbf{T}}_r : \tilde{H}^{-2} \rightarrow \tilde{H}^{-2}$,
 $D(\tilde{\mathbf{T}}_r) = \tilde{H}^{-2}$,

$$\langle \tilde{\mathbf{T}}_r g, \varphi \rangle = \langle g, \tilde{\mathbf{T}}_0^* \varphi \rangle, \quad g \in D(\tilde{\mathbf{T}}_r) = \tilde{H}^{-2}, \varphi \in \tilde{H}^2.$$

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Then $\tilde{\mathbf{T}}_r^{-1} = \left(\left(\tilde{\mathbf{T}}_0^*\right)^{-1}|_{\tilde{H}^2}\right)^*$ and $\tilde{\mathbf{T}}_r^{-1} : \tilde{H}^{-2} \rightarrow \tilde{H}^{-2}$, $D\left(\tilde{\mathbf{T}}_r^{-1}\right) = \tilde{H}^{-2}$,

$$\left\langle \tilde{\mathbf{T}}_r^{-1} f, \psi \right\rangle = \left\langle g, \left(\tilde{\mathbf{T}}_0^*\right)^{-1} \psi \right\rangle, \quad f \in D\left(\tilde{\mathbf{T}}_r^{-1}\right) = \tilde{H}^{-2}, \psi \in \tilde{H}^2.$$

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if $g \in \tilde{H}_0^0$ and $g(+0)$ exists;
- $\tilde{\mathbf{T}}_r \delta' = \delta'$

Transformation operators for differential operators with variable coefficients

Let us construct an operator \mathbf{S} such that

$$\frac{1}{\rho(x)} (k(x)(\mathbf{S}g)')' = \mathbf{S}(g'') + \textcircled{?} \quad \text{and} \quad \mathbf{S} : H^{-2} \rightarrow \textcircled{?},$$

where $\rho, k \in C^1(\mathbb{R})$ are positive on \mathbb{R} .

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Let $\eta = (k\rho)^{1/4}$, $\eta \in C^2(\mathbb{R})$, $\theta = (k/\rho)^{1/4}$,

$$\sigma(x) = \int_0^x \frac{d\mu}{\theta^2(\mu)}, \quad x \in \mathbb{R}, \quad \sigma(x) \rightarrow +\infty \text{ as } x \rightarrow +\infty,$$

$$\mathcal{D}_{\eta\theta} = \theta^2 \left(\frac{d}{dx} + \frac{\eta'}{\eta} \right).$$

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$$\mathcal{D}_{\eta\theta} = \theta^2 \left(\frac{d}{dx} + \frac{\eta'}{\eta} \right).$$

Then

$$\frac{1}{\rho} (kf')' = \mathcal{D}_{\eta\theta}^2 f - \left(\mathcal{D}_{\eta\theta} \left(\theta^2 \frac{\eta'}{\eta} \right) \right) f.$$

Observations

Let $f, g, \varphi, \psi \in C^2(\mathbb{R})$ be functions such that the following integrals are converging. Denote

$$\varphi = S_0 \psi = \frac{\psi \circ \sigma}{\eta},$$

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We have

$$\begin{aligned} \bullet \langle g, \psi \rangle &= \int_{-\infty}^{\infty} g(\lambda)\psi(\lambda) d\lambda \\ &= \int_{-\infty}^{\infty} \frac{g(\sigma(x))}{\eta(x)} \frac{\psi(\sigma(x))}{\eta(x)} \frac{\eta^2(x)}{\theta^2(x)} dx = \langle\langle S_0g, S_0\psi \rangle\rangle, \end{aligned}$$

$$\text{where } \langle\langle f, \varphi \rangle\rangle = \int_{-\infty}^{\infty} f(x)\varphi(x) \frac{\eta^2(x)}{\theta^2(x)} dx;$$

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$$\begin{aligned} \bullet \langle g, \psi \rangle &= \int_{-\infty}^{\infty} g(\lambda)\psi(\lambda) d\lambda \\ &= \int_{-\infty}^{\infty} \frac{g(\sigma(x))}{\eta(x)} \frac{\psi(\sigma(x))}{\eta(x)} \frac{\eta^2(x)}{\theta^2(x)} dx = \langle\langle S_0g, S_0\psi \rangle\rangle, \end{aligned}$$

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Observations

Let $f, g, \varphi, \psi \in C^2(\mathbb{R})$ be functions such that the following integrals are converging. Denote

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Spaces \mathbb{H}^m

Operator \mathbf{S} and spaces \mathbb{H}^m are introduced and investigated by L.V.Fardigola [Mathematical Control and Related Fields (MCRF) **5** (2015), 31–53].

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and the inner product

$$\langle\langle \psi_1, \psi_2 \rangle\rangle = \int_{-\infty}^{\infty} \psi_1(x)\psi_2(x) \frac{\eta^2(x)}{\theta^2(x)} dx, \quad \psi_1, \psi_2 \in L^2_{\eta\theta}(\mathbb{R}).$$

Denote by $\langle f, \varphi \rangle$ and $\langle\langle g, \psi \rangle\rangle$ the value of distributions $f \in H_0^{-p}$ and $g \in \mathbb{H}^{-p}$, respectively, on test functions $\varphi \in H_0^p$ and $\psi \in \mathbb{H}^p$, respectively.

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Let $p = 0, 1, 2$.

Classical Sobolev spaces

$$H^p = \left\{ \varphi \in L^2(\mathbb{R}) \mid \forall k = \overline{0, p} \frac{d^k}{dx^k} \varphi \in L^2(\mathbb{R}) \right\},$$

$$\|\varphi\|^p = \left(\sum_{k=0}^p \left\| \frac{d^k}{dx^k} \varphi \right\|_{L^2(\mathbb{R})}^2 \right)^{1/2},$$

$$H^{-p} = (H^p)^*,$$

$$\|f\|^{-p} = \sup \left\{ \frac{|\langle f, \varphi \rangle|}{\|\varphi\|^p} \mid \|\varphi\|^p \neq 0 \right\},$$

$$\left\langle \frac{d}{dx} f, \varphi \right\rangle = - \left\langle f, \frac{d}{dx} \varphi \right\rangle, \quad p \neq 2.$$

Modified Sobolev spaces

$$\mathbb{H}^p = \left\{ \psi \in L_{loc}^2(\mathbb{R}) \mid \forall k = \overline{0, p} \mathcal{D}_{\eta\theta}^k \psi \in L_{\eta\theta}^2(\mathbb{R}) \right\},$$

$$\|\psi\|^p = \left(\sum_{k=0}^p \left(\left\| \mathcal{D}_{\eta\theta}^k \psi \right\|_{L_{\eta\theta}^2(\mathbb{R})} \right)^2 \right)^{1/2},$$

$$\mathbb{H}^{-p} = (\mathbb{H}^p)^*,$$

$$\|g\|^{-p} = \sup \left\{ \frac{|\langle\langle g, \psi \rangle\rangle|}{\|\psi\|^p} \mid \|\psi\|^p \neq 0 \right\},$$

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Operator S_0

Together with the spaces \mathbb{H}^m consider the operator \mathbf{S} . First, consider an auxiliary operator $S_0 : H^0 \rightarrow \mathbb{H}^0$, $D(S_0) = H^0$,

$$S_0\psi = \frac{\psi \circ \sigma}{\eta}, \quad \psi \in D(S_0)$$

where $\psi \circ \sigma$ is the composition of ψ with σ , i.e., $(\psi \circ \sigma)(x) = \psi(\sigma(x))$, $x \in \mathbb{R}$.

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By construction, the operator S_0 is invertible, $S_0^{-1} : \mathbb{H}^0 \rightarrow H^0$,
 $D(S_0^{-1}) = \mathbb{H}^0$,

$$S_0^{-1}\varphi = (\eta\varphi) \circ \sigma^{-1}, \quad \varphi \in D(S_0^{-1}).$$

Properties of the operator S_0

Theorem (L.V.Fardigola, (MCRF) 5 (2015), 31–53)

We have

- $\mathcal{D}_{\eta\theta} S_0 \psi = S_0(\psi')$, $\psi \in H^1$,

Properties of the operator S_0

Theorem (L.V.Fardigola, (MCRF) 5 (2015), 31–53)

We have

- $\mathcal{D}_{\eta\theta} S_0 \psi = S_0(\psi')$, $\psi \in H^1$,
- The operator S_0 is an isometric isomorphism of H^m and \mathbb{H}^m ,
 $m = 0, 1, 2$.

Operator \mathbf{S}

By using this theorem, we extend the operator S_0 to H^{-2} . Denote this extension by \mathbf{S} . We have $\mathbf{S} : H^{-2} \rightarrow \mathbb{H}^{-2}$, $D(\mathbf{S}) = H^{-2}$,

$$\langle\langle \mathbf{S}g, \varphi \rangle\rangle = \langle g, S_0^{-1}\varphi \rangle, \quad g \in D(\mathbf{S}), \varphi \in D(S_0^{-1}) \cap \mathbb{H}^2 = \mathbb{H}^2.$$

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Evidently, \mathbf{S} is also invertible, $\mathbf{S}^{-1} : \mathbb{H}^{-2} \rightarrow H^{-2}$, $D(\mathbf{S}^{-1}) = \mathbb{H}^{-2}$,

$$\langle \mathbf{S}^{-1}f, \psi \rangle = \langle\langle f, \mathbf{S}\psi \rangle\rangle, \quad f \in D(\mathbf{S}^{-1}), \psi \in D(S_0) \cap H^2 = H^2.$$

Properties of the operator **S**

Theorem (L.V.Fardigola, (MCRF) **5** (2015), 31–53)

- **S** is an isometric isomorphism of H^m and \mathbb{H}^m , $-2 \leq m \leq 2$;

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In particular,

$$\frac{1}{\rho} (k(\mathbf{S}g)')' = \mathcal{D}_{\eta\theta}^2 \mathbf{S}g - \nu \mathbf{S}g = \mathbf{S}(g'') - \nu \mathbf{S}g$$

where $\nu = \mathcal{D}_{\eta\theta} \left(\theta^2 \frac{\eta'}{\eta} \right)$.

Space \mathcal{D}

Let \mathcal{D} be the space of infinitely differentiable functions with compact supports, where

$$\varphi_n \rightarrow 0 \text{ as } n \rightarrow \infty \quad \text{iff} \quad \begin{cases} \exists a > 0 \forall n = \overline{1, \infty} \text{ supp } \varphi_n \in [-a, a] \\ \forall m = \overline{1, \infty} \varphi_n^{(m)} \Rightarrow 0 \text{ as } n \rightarrow \infty \text{ on } \mathbb{R} \end{cases}$$

Let \mathcal{D}' be the dual space with weak convergence.

Space \mathcal{S}

Let \mathcal{S} be the Schwartz space of rapidly decreasing functions on \mathbb{R} , i.e.

$$\mathcal{S} = \left\{ \varphi \in C^\infty(\mathbb{R}) \mid \forall k = \overline{0, \infty} \forall m = \overline{0, \infty} \sup \left\{ \left| x^k \varphi^{(m)} \right| \mid x \in \mathbb{R} \right\} < \infty \right\}$$

where

$$\varphi_n \rightarrow 0 \text{ as } n \rightarrow \infty \quad \text{iff } \forall k = \overline{0, \infty} \forall m = \overline{0, \infty} x^k \varphi_n^{(m)} \Rightarrow 0 \text{ as } n \rightarrow \infty \text{ on } \mathbb{R}.$$

Let \mathcal{S}' be the dual space of tempered distributions (with weak convergence).

Properties of the classical Sobolev spaces H^m

Theorem (S.G. Gindikin and L.R. Volevich, “Distributions and convolution equations”, 1992)

- $H^m \subset H^n$ is a dense embedding, $-2 \leq n \leq m \leq 2$.

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It is shown by examples that relations between \mathbb{H}^m and \mathcal{S} depends on k and ρ .

Examples

Let $k = \rho$. Then $\eta = \sqrt{\rho}$, $\theta = 1$, $\sigma(x) = x$,

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$$\begin{aligned}\frac{\eta}{\theta}\mathcal{D}_{\eta\theta}^2\varphi &= \eta\mathcal{D}_{\eta\theta}\left(\frac{1}{\eta}(\eta\varphi)'\right) = \eta\left(\left(\frac{1}{\eta}(\eta\varphi)'\right)' + \frac{\eta'}{\eta}\frac{1}{\eta}(\eta\varphi)'\right) \\ &= \eta\left(\frac{1}{\eta}(\eta\varphi)'' - \frac{\eta'}{\eta^2}(\eta\varphi)' + \frac{\eta'}{\eta}\frac{1}{\eta}(\eta\varphi)'\right) = (\eta\varphi)''.\end{aligned}$$

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Therefore

$$f \in \mathbb{H}^m \Leftrightarrow \sqrt{\rho}\varphi \in H^m, \quad m = \overline{-2, 2}.$$

$$\begin{aligned}\mathbb{H}^p &= \{\psi \in L_{\text{loc}}^2(\mathbb{R}) \mid \forall k = \overline{0, p} \mathcal{D}_{\eta\theta}^k \psi \in L_{\eta\theta}^2(\mathbb{R})\}, \\ \mathbb{H}^{-p} &= (\mathbb{H}^p)^*, \quad p = 0, 1, 2.\end{aligned}$$

Examples

Thus, the following assertions hold

- Let $\rho(x) = \cosh x$, $x \in \mathbb{R}$. Then $f \in \mathbb{H}^m$ iff $\sqrt{\cosh x} f \in H^m$, $m = \overline{-2, 2}$. Therefore, $\mathcal{S} \not\subset \mathbb{H}^2$ and $\mathbb{H}^{-2} \not\subset \mathcal{S}'$.

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- Let $\rho(x) = 1/\cosh x$, $x \in \mathbb{R}$. Then, $f \in \mathbb{H}^m$ iff $f/\sqrt{\cosh x} \in H^m$, $m = \overline{-2, 2}$. Therefore, $\mathcal{S} \subset \mathbb{H}^2$; $\mathbb{H}^{-2} \subset \mathcal{S}'$.

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- Let $\alpha \in \mathbb{R}$, $\rho(x) = (1+x^2)^{\frac{\alpha}{2}}$, $x \in \mathbb{R}$. Then, $f \in \mathbb{H}^m$ iff $(1+x^2)^{\frac{\alpha}{2}} f \in H^m$, i.e., $f \in H_{\alpha}^m$, $m = \overline{-2, 2}$. Therefore, $\mathcal{S} \subset H_{\alpha}^2 \subset \mathbb{H}^2 \subset \mathbb{H}^{-2} \subset H_{\alpha}^{-2} \subset \mathcal{S}'$.

Examples

Let $\alpha \in \mathbb{R}$, $k(x) = (1 + x^2)^{\frac{\alpha+1}{2}}$, $\rho(x) = (1 + x^2)^{\frac{\alpha-1}{2}}$, $x \in \mathbb{R}$. Then, $\eta(x) = (1 + x^2)^{\frac{\alpha}{4}}$, $\theta(x) = (1 + x^2)^{\frac{1}{4}}$, $\sigma(x) = \ln \left(x + \sqrt{1 + x^2} \right)$, $x \in \mathbb{R}$.

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$$\frac{\eta}{\theta} \varphi = (1+x^2)^{\frac{\alpha-1}{4}} \varphi,$$

$$\frac{\eta}{\theta} \mathcal{D}_{\eta\theta} \varphi = \theta(\eta\varphi)' = \frac{\alpha}{2} x(1+x^2)^{\frac{\alpha-3}{4}} \varphi + (1+x^2)^{\frac{\alpha+1}{4}} \varphi',$$

$$\begin{aligned} \frac{\eta}{\theta} \mathcal{D}_{\eta\theta}^2 \varphi &= \frac{\eta}{\theta} \mathcal{D}_{\eta\theta} \left(\frac{\theta^2}{\eta} (\eta\varphi)' \right) = \theta (\theta^2 (\eta\varphi)')' = \frac{\alpha}{2} \left(1 + \frac{\alpha}{2} x^2 \right) (1+x^2)^{\frac{\alpha-5}{4}} \varphi \\ &\quad + (\alpha+1)x(1+x^2)^{\frac{\alpha-1}{4}} \varphi' + (1+x^2)^{\frac{\alpha+3}{4}} \varphi'' \end{aligned}$$

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Since

$$\mathbb{H}^p = \{\psi \in L^2_{\text{loc}}(\mathbb{R}) \mid \forall k = \overline{0, p} \mathcal{D}_{\eta\theta}^k \psi \in L^2_{\eta\theta}(\mathbb{R})\},$$
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we have

$$\varphi \in \mathbb{H}^0 \Leftrightarrow (1+x^2)^{\frac{\alpha-1}{4}} \varphi \in H_0;$$

$$\varphi \in \mathbb{H}^1 \Leftrightarrow (1+x^2)^{\frac{\alpha-1}{4}} \varphi \in H_0 \text{ and } (1+x^2)^{\frac{\alpha+1}{4}} \varphi' \in H_0;$$

$$\varphi \in \mathbb{H}^2 \Leftrightarrow (1+x^2)^{\frac{\alpha-1}{4}} \varphi \in H_0 \text{ and } (1+x^2)^{\frac{\alpha+1}{4}} \varphi' \in H_0$$
$$\text{and } (1+x^2)^{\frac{\alpha+3}{4}} \varphi'' \in H_0.$$

Operator $\tilde{\mathbb{T}}$

Consider the operator $\tilde{\mathbb{T}} : \tilde{H}^{-2} \rightarrow \tilde{\mathbb{H}}^{-2}$, $D(\tilde{\mathbb{T}}) = \tilde{H}^{-2}$, $\tilde{\mathbb{T}} = \mathbf{S}\tilde{\mathbb{T}}_r$.

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- $\tilde{\mathbb{T}}$ is an isomorphism of \tilde{H}^m and $\tilde{\mathbb{H}}^m$, $-2 \leq m \leq 2$;

Operator $\tilde{\mathbb{T}}$

Consider the operator $\tilde{\mathbb{T}} : \tilde{H}^{-2} \rightarrow \tilde{\mathbb{H}}^{-2}$, $D(\tilde{\mathbb{T}}) = \tilde{H}^{-2}$, $\tilde{\mathbb{T}} = \mathbf{S}\tilde{\mathbb{T}}_r$.

Theorem

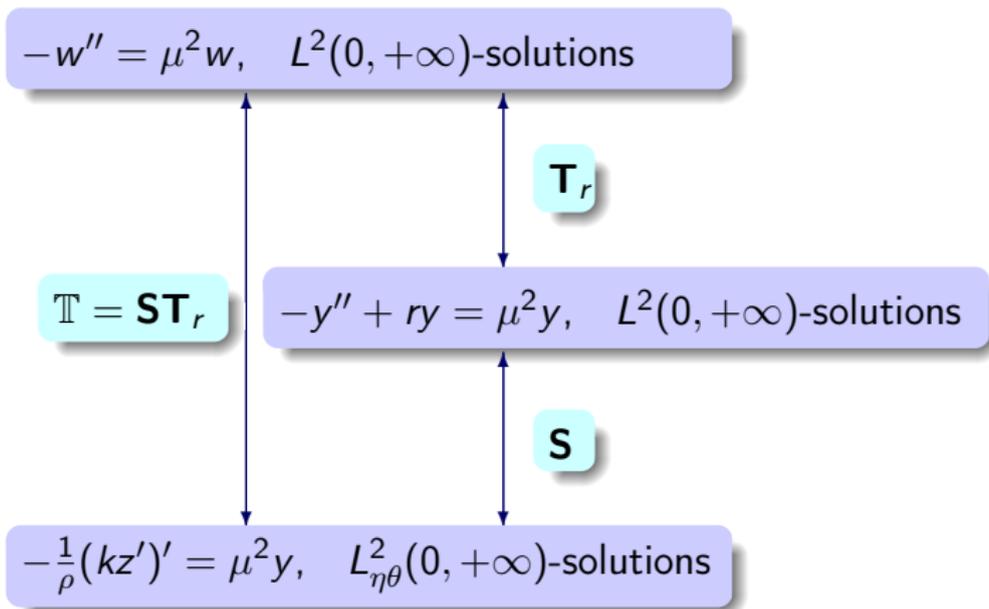
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$$\mu \in \mathbb{C}, \quad r = \left(\mathcal{D}_{\eta\theta} \left(\theta^2 \frac{\eta'}{\eta} \right) \right) \circ \sigma^{-1}, \quad \eta = (k\rho)^{1/4}, \quad \theta = (k/\rho)^{1/4},$$

$$\sigma(x) = \int_0^x \frac{d\mu}{\theta^2(\mu)}, \quad \mathcal{D}_{\eta\theta} = \theta^2 \left(\frac{d}{dx} + \frac{\eta'}{\eta} \right),$$

Linear control systems



$$\frac{d\mathbf{w}}{dt} = A\mathbf{w} + Bu, \quad t \in (0, T), \quad (10)$$

where $T > 0$, $\mathbf{w} : [0, T] \rightarrow \mathcal{H}$ is a state of system, $u : (0, T) \rightarrow H$ is a control, \mathcal{H} , H are Banach spaces, $A : \mathcal{H} \rightarrow \mathcal{H}$, $B : H \rightarrow \mathcal{H}$ are linear operators.

Null-controllability problems for the wave equation

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Classical Sobolev spaces

Let $p \in \mathbb{N} \cup \{0\}$, Ω be a domain in \mathbb{R} . Denote

$$H^p(\Omega) = \left\{ \varphi \in L^2(\Omega) \mid \forall k = \overline{0, p} \frac{d^k}{dx^k} \varphi \in L^2(\Omega) \right\},$$

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Theorem

For each $m \in \mathbb{Z}$ the operator \mathcal{F} is an isometric isomorphism of H^m and H_m .

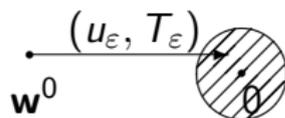
Null-controllability problems

Let \mathfrak{U} be a set of permissible controls.

Definition

A state \mathbf{w}^0 is called **approximately null-controllable at a free time** if $\forall \varepsilon > 0$ there exist $T_\varepsilon > 0$ $u_\varepsilon \in \mathfrak{U}$ such that a solution \mathbf{w} of system (14) satisfies two conditions:

$$\mathbf{w}(0) = \mathbf{w}^0 \text{ and } \|\mathbf{w}(T)\| < \varepsilon.$$



Null-controllability problems for the wave equation with constant coefficients

We consider the following controllability problem

$$w_{tt} = w_{xx} - q^2 w, \quad x > 0, \quad t \in (0, T), \quad (11)$$

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where $T > 0$, $q \geq 0$, $w : [0, T] \rightarrow H^0(0, +\infty)$, $w^0 = \begin{pmatrix} w_0^0 \\ w_1^0 \end{pmatrix} \in H^0(0, +\infty) \times H^{-1}(0, +\infty)$, $w^T = \begin{pmatrix} w_0^T \\ w_1^T \end{pmatrix} \in H^0(0, +\infty) \times H^{-1}(0, +\infty)$.

We also assume that $u \in \mathcal{U} = L^\infty(0, T)$ is a control.

Reduced control problem

Let $\mathbf{w}(\cdot, t)$, \mathbf{w}^0 , \mathbf{w}^T be the odd extension for $\begin{pmatrix} w(\cdot, t) \\ w_t(\cdot, t) \end{pmatrix}$, $\begin{pmatrix} w_0^0 \\ w_1^0 \end{pmatrix}$, $\begin{pmatrix} w_0^T \\ w_1^T \end{pmatrix}$, resp., ($t \in [0, T]$). Then $\frac{d^p}{dt^p} \mathbf{w} : [0, T] \rightarrow \mathbf{H}^{-p}$, $p = 0, 1$, where $\mathbf{H}^m = \tilde{H}^m \times \tilde{H}^{m-1}$ with the norm $\|\cdot\|^m$, $m \in \mathbb{Z}$.

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Our controllability problem can be reduced to the following one

$$\frac{d\mathbf{w}}{dt} = \begin{pmatrix} 0 & 1 \\ \left(\frac{d}{dx}\right)^2 - q^2 & 0 \end{pmatrix} \mathbf{w} - \begin{pmatrix} 0 \\ 2\delta'(x) \end{pmatrix} u, \quad x \in \mathbb{R}, t \in (0, T), \quad (14)$$

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Fourier transform of the control system

Denote $\mathbf{y}(\cdot, t) = \mathcal{F}_{x \rightarrow \sigma} \begin{pmatrix} \mathbf{w}(\cdot, t) \\ \mathbf{w}_t(\cdot, t) \end{pmatrix}$, $\mathbf{y}^0 = \mathcal{F}\mathbf{w}^0$, $\mathbf{y}^T = \mathcal{F}\mathbf{w}^T$. Evidently, $\frac{d^m}{dt^m} \mathbf{y} : [0, T] \rightarrow \tilde{H}_m \times \tilde{H}_{m-1}$, $m = 0, 1$, $\mathbf{y}^0 \in \tilde{H}_0 \times \tilde{H}_{-1}$ and $\mathbf{y}^T \in \tilde{H}_0 \times \tilde{H}_{-1}$. Here $\mathbf{H}_m = \tilde{H}_m \times \tilde{H}_{m-1}$ with the norm $\|\cdot\|_m$, $m \in \mathbb{Z}$.

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Applying to (14), (15) Fourier transform w.r.t. ξ , we obtain

$$\mathbf{y}_t = \begin{pmatrix} 0 & 1 \\ -\sigma^2 - q^2 & 0 \end{pmatrix} \mathbf{y} - \sqrt{\frac{2}{\pi}} \begin{pmatrix} 0 \\ i\sigma u(t) \end{pmatrix}, \quad \sigma \in \mathbb{R}, t \in (0, T), \quad (16)$$

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Solutions to (16), (27)

We have

$$\mathbf{y}^T(\sigma) = \Sigma(\sigma, t) \left(\mathbf{y}^0(\sigma) - \sqrt{\frac{2}{\pi}} \int_0^T \begin{pmatrix} -\frac{\sin(t\sqrt{\sigma^2+q^2})}{\sqrt{\sigma^2+q^2}} \\ \cos(t\sqrt{\sigma^2+q^2}) \end{pmatrix} u(t) dt \right)$$

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We have

$$\|\Sigma(\cdot, t)\|_0 \leq \begin{cases} 1/q & \text{if } q > 0 \\ 2\sqrt{1+t^2} & \text{if } q = 0 \end{cases}, \quad t \in \mathbb{R}.$$

Operators Ψ and $\widehat{\Psi}$

Denote $\Psi : \widetilde{H}^0 \rightarrow \widetilde{H}^0$ with $D(\Psi) = \widetilde{H}_0^0$ such that

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Denote $\widehat{\Psi} : \widetilde{H}^0 \longrightarrow \widetilde{H}^{-1}$ with $D(\widehat{\Psi}) = \widetilde{H}^0$ such that

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Evidently, if $q = 0$, then $\Psi = \operatorname{Id}$, $\widehat{\Psi} = \frac{d}{dx}(\operatorname{sgn}(\cdot))$.

Therefore

$$\mathbf{w}^T(x) = \mathbf{w}(x, T) = E(x, T) * \left[\mathbf{w}^0(x) - \begin{pmatrix} \Psi \mathcal{U} \\ \hat{\Psi} \mathcal{U} \end{pmatrix} (x) \right] \quad (18)$$

where $\mathcal{U}(t) = u(t)(H(t) - H(t - T)) - u(-t)(H(t + T) - H(-t))$,
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where $J_k = \sum_{p=0}^{\infty} \frac{(-1)^p}{p! \Gamma(p+k+1)} \left(\frac{x}{2}\right)^{2p+k}$ is the Bessel function (here Γ is the Euler gamma function).

Since the Fourier transform operator \mathcal{F} is an isomorphic isomorphism of H^m and H_m ,
we have

$$\|E(\cdot, t)^*\|_0 \leq \begin{cases} 1/q & \text{if } q > 0 \\ 2\sqrt{1+t^2} & \text{if } q = 0 \end{cases}, \quad t \in \mathbb{R}.$$

Uniqueness and well-posedness

Remark *It is well known that the solution to problem (14), (15) is unique.*

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$$\left\| \begin{pmatrix} \mathbf{w}(\cdot, t) \\ \mathbf{w}_t(\cdot, t) \end{pmatrix} \right\|^0 \leq Q(T) \left(\left\| \mathbf{w}^0 \right\|^0 + \|u\|_{L^\infty(0, T)} \right), \quad t \in [0, T],$$

where $Q(T) > 0$. Therefore, problem (14), (15) is well posed.

Null-controllability problems at a free time

According to definition,
a state $\mathbf{w}^0 \in \mathbf{H}^0$ is approximately null-controllable at a free time iff

$$\forall n \in \mathbb{N} \exists T_n > 0 \exists u_n \in L^\infty(0, T_n) \quad \|\mathbf{w}^n(\cdot, T_n)\|^0 < 1/n, \quad (19)$$

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Condition (19) is equivalent to

$$\forall n \in \mathbb{N} \exists T_n > 0 \exists \mathcal{U}_n \in \tilde{H}^0 \cap L^\infty(\mathbb{R}) \begin{cases} \text{supp } \mathcal{U}_n \subset [-T_n, T_n] \\ \mathbf{w}_0^n = \Psi \mathcal{U}_n \rightarrow \mathbf{w}_0^0 \text{ as } n \rightarrow \infty, \\ \mathbf{w}_1^n = \hat{\Psi} \mathcal{U}_n \rightarrow \mathbf{w}_1^0 \text{ as } n \rightarrow \infty \end{cases}$$

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$$E(x, -T_n) * \mathbf{w}^n(x, T_n) = \mathbf{w}^0(x) - \begin{pmatrix} \Psi \mathcal{U}_n \\ \hat{\Psi} \mathcal{U}_n \end{pmatrix} (x).$$

Difference between the cases $q = 0$ and $q > 0$

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Properties of $\widehat{\Psi}(N(\Psi))$ and $\overline{\Psi(N(\widehat{\Psi}))}$

Theorem (L.V.Fardigola, ESAIM: COCV **18** (2012), 748–773)

Let $q > 0$, $n = \overline{0, \infty}$. Then $\text{sgn } x |x|^n e^{-q|x|} \in \overline{\widehat{\Psi}(N(\Psi))}$ (the closure is considered in \widetilde{H}^{-1}).

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Since the system of elements $\{\text{sgn } x |x|^n e^{-q|x|}\}_{n=0}^{\infty}$ is closed in \widetilde{H}^0 and \widetilde{H}^{-1} , we have two theorems:

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Approximate null-controllability problems at a free time

Let $q > 0$, $\mathbf{w}^0 = \begin{pmatrix} \mathbf{w}_0^0 \\ \mathbf{w}_1^0 \end{pmatrix}$.

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For $g^n = g_0^n + g_1^n$, $n \in \mathbb{N}$, we have $g^n \in \tilde{H}^0$, $n \in \mathbb{N}$, and

$$\begin{cases} \Psi g^n = \Psi g_0^n \rightarrow \mathbf{w}_0^0 \\ \hat{\Psi} g^n = \hat{\Psi} g_1^n \rightarrow \mathbf{w}_1^0 \end{cases} \text{ as } n \rightarrow \infty.$$

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We can find a sequence $\{\mathcal{U}^n\}_{n=0}^{\infty} \subset \tilde{H}^0 \cap L^{\infty}(\mathbb{R})$ such that $\text{supp } \mathcal{U}^n \subset [-T_n, T_n]$, $n \in \mathbb{N}$, and

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Let \mathbf{w}^n be the solution to control system (14), (15) with $T = T_n$ and $u(t) = \mathcal{U}^n(t)$, $t \in [0, T_n]$, $n \in \mathbb{N}$.

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Since the operators Ψ and $\hat{\Psi}$ are bounded, we have

$$\begin{aligned} \|\|\mathbf{w}^T\|\|^0 &\leq \frac{1}{q} \|\|\mathbf{w}^0 - \begin{pmatrix} \Psi \mathcal{U}^n \\ \hat{\Psi} \mathcal{U}^n \end{pmatrix}\|\|^0 \\ &\leq \frac{1}{q} \left(\|\|\mathbf{w}^0 - \begin{pmatrix} \Psi g^n \\ \hat{\Psi} g^n \end{pmatrix}\|\|^0 + \|\|\begin{pmatrix} \Psi(g^n - \mathcal{U}^n) \\ \hat{\Psi}(g^n - \mathcal{U}^n) \end{pmatrix}\|\|^0 \right) \rightarrow 0 \quad \text{as } n \rightarrow \infty. \end{aligned}$$

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$$\mathbf{w}(x, T_n) = E(x, T_n) * \left[\mathbf{w}^0(x) - \begin{pmatrix} \Psi \mathcal{U}^n \\ \hat{\Psi} \mathcal{U}^n \end{pmatrix} (x) \right] \quad \text{and} \quad \|E(\cdot, T_n) *\|^0 \leq \frac{1}{q}.$$

Necessary and sufficient conditions for approximate null-controllability at a free time

Thus we obtain the following theorem

Theorem (L.V.Fardigola, ESAIM: COCV **18** (2012), 748–773)

*Let $q > 0$. Each state $\mathbf{w}^0 \in \mathbf{H}$ is approximately null-controllable at a **free time**.*

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Thus we obtain the following theorem

Theorem (L.V.Fardigola, ESAIM: COCV **18** (2012), 748–773)

*Let $q > 0$. Each state $\mathbf{w}^0 \in \mathbf{H}$ is approximately null-controllable at a **free time**.*

By analysing the d'Alembert formula for the solution of the wave equation, we obtain the following theorem

Theorem (L.V.Fardigola and G.M.Sklyar, JMAA **276**(2002), No. 2, 109–134)

*Let $q = 0$. A state $\mathbf{w}^0 \in \mathbf{H}$ is approximately null-controllable at a **free time** iff*

$$\mathbf{w}_1^0 - (\text{sgn} \times \mathbf{w}_0^0)' = 0. \quad (20)$$

Example

Let $q > 0$, $\mathbf{w}_0^0(x) = e^{-q|x|} \operatorname{sgn} x$, $\mathbf{w}_1^0(x) = 0$, $x \in \mathbb{R}$.

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$$\begin{aligned} \mathbf{w}_{tt} &= \mathbf{w}_{xx} - q^2 \mathbf{w} - 2u(t)\delta'(x), & x \in \mathbb{R}, t \in (0, T), \\ \mathbf{w}(\cdot, 0) &= \mathbf{w}_0^0, & \mathbf{w}_t(\cdot, 0) = \mathbf{w}_1^0. \end{aligned}$$

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For $n \geq \frac{\sqrt{2}}{q}$, set $T_n = n^6$, $u_n(t) = n \frac{\sin(t/n)}{t}$, $t \in [0, T_n]$.

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$$\left\| \begin{pmatrix} \mathbf{w}^n(\cdot, T_n) \\ \mathbf{w}_t^n(\cdot, T_n) \end{pmatrix} \right\|^0 \leq \frac{1 + 2q^{5/2}}{q^{5/2}n^2} \rightarrow 0 \quad \text{as } n \rightarrow \infty.$$

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Thus the state $\mathbf{w}^0 = \begin{pmatrix} \mathbf{w}_0^0 \\ \mathbf{w}_1^0 \end{pmatrix}$ is approximately null-controllable at a free time.

Moreover, the pairs (T_n, u_n) , $n \geq \frac{\sqrt{2}}{q}$, solve the approximate null-controllability problem at a free time.

Null-controllability problems for the wave equation with variable coefficients

Now we consider the following controllability problem

$$z_{tt} = \frac{1}{\rho(\xi)} (k(\xi)z_{\xi})_{\xi} + \gamma(\xi)z, \quad \xi > 0, \quad t \in (0, T), \quad (21)$$

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where $T > 0$ is a constant; ρ, k, γ, w_0^0 , and w_1^0 are given functions; $v \in L^\infty(0, T)$ is a control; $\rho, k, \gamma \in C^1[0, +\infty)$, ρ, k are positive on $[0, +\infty)$.

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We assume also that

$$\exists q = \text{const} \geq 0 \quad \left(r = p \circ \sigma^{-1} - q^2 \in C^1[0, +\infty) \cap L^2(0, +\infty) \right. \\ \left. \text{and } \int_0^\infty \lambda |r(\lambda)| d\lambda < \infty \right).$$

Spaces H^m and \mathbb{H}^m

Classical Sobolev spaces

$$H^p = \left\{ \varphi \in L^2(\mathbb{R}) \mid \forall k = \overline{0, p} \frac{d^k}{dx^k} \varphi \in L^2(\mathbb{R}) \right\},$$

$$\|\varphi\|^p = \left(\sum_{k=0}^p \left\| \frac{d^k}{dx^k} \varphi \right\|_{L^2(\mathbb{R})}^2 \right)^{1/2},$$

$$H^{-p} = (H^p)^*,$$

$$\|f\|^{-p} = \sup \left\{ \frac{|\langle f, \varphi \rangle|}{\|\varphi\|^p} \mid \|\varphi\|^p \neq 0 \right\},$$

$$\left\langle \frac{d}{dx} f, \varphi \right\rangle = - \left\langle f, \frac{d}{dx} \varphi \right\rangle, \quad p \neq 2.$$

Modified Sobolev spaces

$$\mathbb{H}^p = \left\{ \psi \in L_{loc}^2(\mathbb{R}) \mid \forall k = \overline{0, p} \mathcal{D}_{\eta\theta}^k \psi \in L_{\eta\theta}^2(\mathbb{R}) \right\},$$

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Reduced control problem

Put $\tilde{\mathbb{H}}^m = \{\varphi \in \mathbb{H}^m : \varphi \text{ is odd}\}$, $-2 \leq m \leq 2$, $\mathbb{H}\mathbb{H} = \tilde{\mathbb{H}}^0 \times \tilde{\mathbb{H}}^{-1}$ with the norm $\|\cdot\|$.

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Let $\mathbf{z}(\cdot, t)$, \mathbf{z}^0 , \mathbf{z}^T be the odd extension w.r.t. ξ for $z(\cdot, t)$, $\begin{pmatrix} z_0^0 \\ z_1^0 \end{pmatrix}$, $\begin{pmatrix} z_0^T \\ z_1^T \end{pmatrix}$, resp., ($t \in [0, T]$).

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Controllability problem (21)–(23) can be reduced to the following one

$$\mathbf{z}_{tt} = \mathcal{D}_{\eta\theta}^2 \mathbf{z} + p\mathbf{z} - 2\eta^2(0)v\mathcal{D}_{\eta\theta}\delta, \quad \xi \in \mathbb{R}, \quad t \in (0, T), \quad (24)$$

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We call this problem the **main** control problem.

Control system for the wave equation with constant coefficients

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Consider the **auxiliary** control problem

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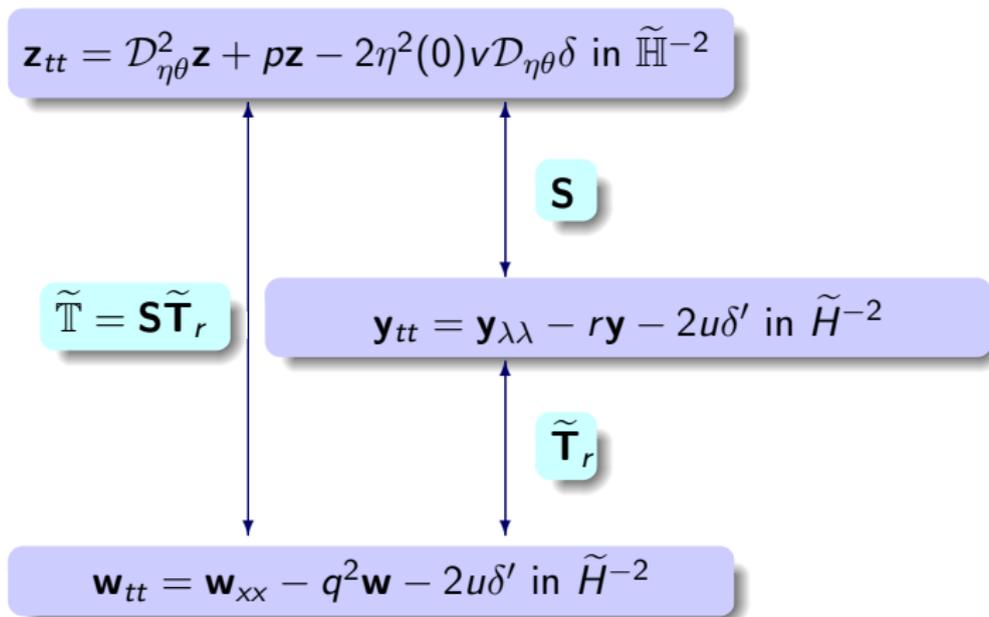
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Scheme of study



$$p(\xi) = r(\sigma(\xi)) + q^2, \quad \xi \in \mathbb{R}.$$

Transformations between solutions to the main and the auxiliary control problems

Theorem

Let \mathbf{w} be a solution to the auxiliary control problem (i. e., problem (26), (27)) for some $u \in L^\infty(0, T)$ and $\mathbf{w}^0 \in \tilde{H}$. Let $\mathbf{z}(\cdot, t) = \tilde{\mathbb{T}}\mathbf{w}(\cdot, t)$, $t \in [0, T]$.

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$$\eta(0)v(t) = u(t) + \int_0^\infty K(0, \xi)\mathbf{w}(\xi, t) d\xi, \quad t \in [0, T]. \quad (28)$$

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Moreover,

$$\left\| \begin{pmatrix} \mathbf{z}(\cdot, t) \\ \mathbf{z}_t(\cdot, t) \end{pmatrix} \right\|^0 \leq C_0 \left\| \begin{pmatrix} \mathbf{w}(\cdot, t) \\ \mathbf{w}_t(\cdot, t) \end{pmatrix} \right\|^0, \quad t \in [0, T], \quad (29)$$

$$\|v\|_{L^\infty(0, T)} \leq Q_0(T) \left(\|u\|_{L^\infty(0, T)} + \left\| \mathbf{w}^0 \right\|^0 \right), \quad (30)$$

where $C_0 > 0$ and $Q_0(T) > 0$.

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we have

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Therefore

$$v(t) = \mathbf{z}(+0, t) = \frac{1}{\eta(0)} \left(u(t) + \int_0^{\infty} K(0, x) \mathbf{w}(x, t) dx \right), \quad t \in [0, T].$$

$$u(t) = \mathbf{w}(+0, t), \quad t \in [0, T],$$

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Therefore,

$$|v(t)| \leq \frac{1}{\eta(0)} \left(|u(t)| + \|K(0, \cdot)\|^0 \|\mathbf{w}(\cdot, t)\|^0 \right), \quad t \in [0, T]$$

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We have

$$\left(\|K(0, \cdot)\|^0 \right)^2 \leq M_0 \int_0^\infty \left(\sigma_0 \left(\frac{x}{2} \right) \right)^2 dx \leq 2M_0 \sigma_0(0) \int_0^\infty xr(x) dx = C$$

$$|K(y)| \leq M_0 \sigma_0 \left(\frac{y_1 + y_2}{2} \right), \quad y_2 \geq y_1 \geq 0, \quad \sigma_0(x) = \int_x^\infty |r(\xi)| d\xi, \quad x > 0.$$

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Hence,

$$\|v\|_{L^2(0, T)} \leq \frac{1}{\eta(0)} \left(\|u\|_{L^2(0, T)} + CQ(T) \left(\|\mathbf{w}^0\|^0 + \|u\|_{L^\infty(0, T)} \right) \right)$$

$$\|\mathbf{w}(\cdot, t)\|^0 \leq Q(T) \left(\|\mathbf{w}^0\|^0 + \|u\|_{L^\infty(0, T)} \right), \quad t \in [0, T],$$

i.e., (30) holds. \square

Transformations between solutions to the main and the auxiliary control problems

Theorem

Let \mathbf{z} be a solution to the main control problem (i. e., problem (24), (25)) for some $v \in L^\infty(0, T)$ and $\mathbf{z}^0 \in \mathbb{H}$. Let $\mathbf{w}(\cdot, t) = \tilde{\mathbb{T}}^{-1}\mathbf{z}(\cdot, t)$, $t \in [0, T]$.

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Moreover,

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$$\|u\|_{L^\infty(0, T)} \leq Q_1(T) \left(\|v\|_{L^\infty(0, T)} + \|\mathbf{z}^0\|^0 \right), \quad (33)$$

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\mathbf{w} depends on w^0 and u .

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Therefore,

$$u(t) = g(t) + \int_0^t P(t - \mu)u(\mu) d\mu, \quad t \in [0, T],$$

where g depends on v , \mathbf{w}^0 , K , and P depends on K ,

$$g \in L^\infty(0, T) \quad \text{and} \quad P \in L^\infty(0, T).$$

Sketch of proof

Thus, u is determined by the integral equation

$$u(t) = g(t) + \int_0^t P(t - \mu)u(\mu) d\mu, \quad t \in [0, T]. \quad (34)$$

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Theorem (Gronwall). Let $y \in L^1(0, T)$, $y(t) \geq 0$, $t \in (0, T)$, and $y(t) \leq C_1 + C_2 \int_0^t y(\lambda) d\lambda$, $t \in (0, T)$, for some constants $C_1, C_2 > 0$. Then $y(t) \leq C_1 e^{tC_2}$, $t \in (0, T)$.

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By using the Fredholm alternative, we see that equation (34) has the unique solution in $L^2(0, T)$.

Sketch of proof

It follows from (34) that

$$|u(t)| \leq \|g\|_{L^\infty(0,T)} + \|P\|_{L^\infty(0,T)} \int_0^t |u(\mu)| d\mu, \quad t \in [0, T].$$

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we obtain

$$|u(t)| \leq \|g\|_{L^\infty(0,T)} e^{t\|P\|_{L^\infty(0,T)}}, \quad t \in [0, T],$$

$\|g\|_{L^\infty(0,t)}$ depends on $\|w^0\|^0$ and $\|v\|_{L^\infty(0,t)}$.

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Therefore,

$$\|u\|_{L^\infty(0,T)} \leq Q_1(T) \left(\|v\|_{L^\infty(0,T)} + \|z^0\|^0 \right)$$

for some $Q_1(T) > 0$. \square

Uniqueness and well-posedness of the main control problem

Remark *It is well known that the solution to the auxiliary control problem (i. e., problem (26), (27)) is unique. Therefore, the last two theorems yield uniqueness of solution to the main control problem (i. e., problem (24), (25)).*

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Remark *It follows from the last two theorems that*

$$\left\| \begin{pmatrix} \mathbf{z}(\cdot, t) \\ \mathbf{z}_t(\cdot, t) \end{pmatrix} \right\|^0 \leq Q_2(T) \left(\left\| \mathbf{z}^0 \right\|^0 + \|v\|_{L^\infty(0,T)} \right), \quad t \in [0, T],$$

where $Q_2(T) > 0$. Therefore, the main control problem (i. e., problem (24), (25)) is well posed.

Necessary and sufficient conditions of approximate null-controllability for the main control problem at a free time

Thus we obtain the following theorem

Theorem

*Let $q > 0$. Each state $\mathbf{z}^0 \in \mathbb{R}^n$ of the main control problem (i. e., problem (24), (25)) is approximately null-controllable at a **free** time.*

Necessary and sufficient conditions of approximate null-controllability for the main control problem at a free time

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Let $q = 0$. A state $\mathbf{z}^0 \in \mathbb{H}$ of the main control problem (i. e., problem (24), (25)) is approximately null-controllable at a **free time** iff

$$\mathbf{z}_1^0 - \tilde{\mathbb{T}} \left(\text{sgn}(\cdot) \tilde{\mathbb{T}}^{-1} \mathbf{z}_0^0 \right)' = 0. \quad (35)$$

Example

Consider the following control problem

$$z_{tt} = (1 + \xi) ((1 + \xi) z_{\xi})_{\xi} - \frac{4 + 3\xi}{4(1 + \xi)} z, \quad \xi > 0, \quad t \in (0, T)$$

$$z(0, t) = v(t), \quad t \in (0, T),$$

$$z(\xi, 0) = z_0^0(\xi) = 2l_2 \left(\frac{2}{\sqrt{1 + \xi}} \right), \quad \xi > 0,$$

$$z_t(\xi, 0) = z_1^0(\xi) = -l_2 \left(\frac{2}{\sqrt{1 + \xi}} \right), \quad \xi > 0,$$

where $v \in L^\infty(0, T)$ is a control.

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$$(\mathbf{S}\psi)(\xi) = \psi(\sigma(\xi)), \quad \psi \in H^m, \quad \langle\langle \mathbf{S}g, \varphi \rangle\rangle = \langle g, \mathbf{S}^{-1}\varphi \rangle, \quad g \in H^{-m}, \quad \varphi \in \mathbb{H}^m.$$

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We have

$$\mathcal{D}_{\eta\theta}\varphi = (1 + |\xi|)\varphi',$$

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Hence

$$\varphi \in \mathbb{H}^m \Leftrightarrow \mathcal{D}_{\eta\theta}^m\varphi \in L_{\eta\theta}^2(\mathbb{R}) \Leftrightarrow (1 + |\xi|)^m\varphi^{(m)} \in L_{\eta\theta}^2(\mathbb{R}), \quad m = 0, 1, 2,$$

$$\mathbb{H}^{-m} = (\mathbb{H}^m)^*, \quad m = 0, 1, 2,$$

$$\langle\langle f, \varphi \rangle\rangle = \langle \mathbf{S}^{-1}f, \mathbf{S}^{-1}\varphi \rangle.$$

where $L_{\eta\theta}^2(\mathbb{R})$ is the space of functions square-integrable on \mathbb{R} with the weight η^2/θ^2 .

Example

Let $\mathbf{z}(\cdot, t)$, \mathbf{z}_0^0 , \mathbf{z}_1^0 be the odd extension w.r.t. ξ for $z(\cdot, t)$, z_0^0 , z_1^0 , resp., ($t \in [0, T]$).

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The control problem can be reduced to the following one

$$\mathbf{z}_{tt} = \mathcal{D}_{\eta\theta}^2 \mathbf{z} + p(\xi)\mathbf{z} - 2\eta^2(0)v(t)\mathcal{D}_{\eta\theta}\delta(\xi), \quad \xi \in \mathbb{R}, t \in (0, T),$$

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$$\mathbf{z}_{tt} = \mathcal{D}_{\eta\theta}^2 \mathbf{z} + \rho(\xi) \mathbf{z} - 2\eta^2(0)v(t)\mathcal{D}_{\eta\theta}\delta(\xi), \quad \xi \in \mathbb{R}, \quad t \in (0, T),$$

$$\mathbf{z}(\cdot, 0) = \mathbf{z}_0^0, \quad \mathbf{z}_t(\cdot, 0) = \mathbf{z}_1^0,$$

where $\frac{d^p}{dt^p} \mathbf{z} : [0, T] \rightarrow \tilde{\mathbb{H}}^{-p}$, $p = 0, 1, 2$, $\mathbf{z}_0^0 \in \tilde{\mathbb{H}}^0$, $\mathbf{z}_1^0 \in \tilde{\mathbb{H}}^{-1}$,

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Let $\mathbf{z}(\cdot, t)$, \mathbf{z}_0^0 , \mathbf{z}_1^0 be the odd extension w.r.t. ξ for $z(\cdot, t)$, z_0^0 , z_1^0 , resp., ($t \in [0, T]$).

The control problem can be reduced to the following one

$$\mathbf{z}_{tt} = \mathcal{D}_{\eta\theta}^2 \mathbf{z} + \rho(\xi) \mathbf{z} - 2\eta^2(0)v(t)\mathcal{D}_{\eta\theta}\delta(\xi), \quad \xi \in \mathbb{R}, \quad t \in (0, T),$$

$$\mathbf{z}(\cdot, 0) = \mathbf{z}_0^0, \quad \mathbf{z}_t(\cdot, 0) = \mathbf{z}_1^0,$$

where $\frac{d^p}{dt^p} \mathbf{z} : [0, T] \rightarrow \tilde{\mathbb{H}}^{-p}$, $p = 0, 1, 2$, $\mathbf{z}_0^0 \in \tilde{\mathbb{H}}^0$, $\mathbf{z}_1^0 \in \tilde{\mathbb{H}}^{-1}$,

$$\rho(\xi) = \frac{4 + 3|\xi|}{4(1 + |\xi|)}.$$

We call this problem the **main** control problem.

Example

We have

$$(p \circ \sigma^{-1})(\lambda) = \frac{3}{4} + e^{-|\lambda|}, \quad \lambda \in \mathbb{R},$$

$$p(\xi) = \frac{4 + 3|\xi|}{4(1 + |\xi|)}, \quad \sigma^{-1}(\lambda) = (e^{-|\lambda|} - 1) \operatorname{sgn} \lambda.$$

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Hence $q = \frac{\sqrt{3}}{2} > 0$, $r(\lambda) = e^{-|\lambda|}$, $\lambda \in \mathbb{R}$.

$$\int_0^{\infty} \lambda r(\lambda) d\lambda < \infty.$$

Example

Denote $\mathbf{w}(\cdot, t) = \tilde{\mathbb{T}}^{-1}\mathbf{z}(\cdot, t)$, $t \in [0, T]$, $\mathbf{w}_0^0 = \tilde{\mathbb{T}}^{-1}\mathbf{z}_0^0$, $\mathbf{w}_1^0 = \tilde{\mathbb{T}}^{-1}\mathbf{z}_1^0$.

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$$u(t) = v(t) + \int_0^\infty L(0, \lambda)\mathbf{z}(e^{-\lambda} - 1, t) d\lambda.$$

$$L(y) = \frac{\partial}{\partial y_1} J_0 \left(2\sqrt{e^{-\frac{y_2}{2}} \left(e^{-\frac{y_1}{2}} - e^{-\frac{y_2}{2}} \right)} \right), \quad y_2 \geq y_1 \geq 0.$$

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Calculating \mathbf{w}_0^0 and \mathbf{w}_1^0 , we obtain

$$\mathbf{w}_0^0(x) = e^{-|x|} \operatorname{sgn} x \quad \text{and} \quad \mathbf{w}_1^0(x) = -\frac{1}{2} e^{-|x|} \operatorname{sgn} x, \quad x \in \mathbb{R}.$$

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Moreover, the pairs (T_n, u_n) ($T_n \rightarrow \infty$ as $n \rightarrow \infty$), solve the approximate null-controllability problem at a free time.

Example

Since $\mathbf{z}^n(\cdot, t) = \tilde{\mathbb{T}}\mathbf{w}^n(\cdot, t)$, $t \in [0, T_n]$, we have

$$\mathbf{z}^n(\xi, t) = 2e^{-t/2}I_2 \left(\frac{2}{\sqrt{1+|\xi|}} \right) \operatorname{sgn} \xi, \quad \xi \in \mathbb{R}, t \in [0, T_n],$$

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$$v(t) = v_n(t) = u_n(t) + \int_0^\infty K(0, x)\mathbf{w}^n(x, t) dx = 2l_2(2)e^{-t/2}, \quad t \in [0, T_n].$$

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THANK YOU FOR YOUR
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